Canadian Nosocomial Infection Surveillance Program (CNISP)

Surveillance Protocol for Carbapenemase-Producing Organisms (CPO) in CNISP

Healthcare Facilities

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Final

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OBJECTIVES

1. To identify and describe the epidemiology and clinical outcomes of inpatients infected or colonized with a carbapenemase-producing organism (CPO), specifically carbapenemase-producing *Enterobacterales* (CPE) and carbapenemase-producing *Acinetobacter* (CPA) in participating CNISP hospitals.

2. To describe the molecular epidemiologic information of the carbapenemase-producing isolates collected, including the resistance genes present and the infecting microorganisms identified.

3. To determine the incidence of patients infected and colonized with a CPO, specifically CPE and CPA in participating CNISP hospitals.

4. To provide national benchmark rates that hospitals may use for external comparison.

RATIONALE

Carbapenems are a class of beta-lactam antibiotics with broad-spectrum activity recommended as first-line therapy for severe infections caused by certain gram negative organisms and as directed therapy for organisms that are resistant to narrower spectrum antibiotics. Carbapenem resistance can be due to changes in the permeability of the organism to the antibiotic and/or the up-regulation of efflux systems that "pump" the antibiotic out of the cell, usually concomitant with the presence of an acquired extended-spectrum beta-lactamase (ESBL) or AmpC enzyme or the hyperproduction of intrinsic chromosomally – located beta-lactamase(s). More recently, resistance is increasingly due to the acquisition of enzymes that break down the carbapenems: carbapenemases. These latter subsets of carbapenem-resistant organisms are called carbapenemase-producing organisms (CPOs) and are of particular concern because of their ability to transfer resistance easily across different genera and species of bacteria. They are quickly becoming a public health problem not only because of the ability to cause healthcare acquired infections which have limited treatment options, but because of the potential for colonizing both inpatient and outpatient populations due to their ease of transmissibility, thus, creating a reservoir of bacterial resistance.

The intent of this surveillance is to describe the epidemiology and clinical outcomes of patients identified as harbouring a carbapenemase. There is a specific focus on this subset of organisms that are carbapenemase producers because this type of resistance is not endemic in Canadian populations at this point in time, but is known to be associated with transmission and outbreaks in health care facilities. We need to understand the epidemiology and scope of the problem while it is still an emerging event, and identify the potential impact of CPOs on infection prevention and control programs and patient treatment strategies.
METHODOLOGY

a) Eligible facilities

All CNISP hospitals are eligible to participate.

b) Eligible cases

(i) Patient admitted to a CNISP participating hospital

(ii) Laboratory confirmation of carbapenem resistance or carbapenemase production (see Appendix A for laboratory criteria) in Enterobacterales and Acinetobacter spp.

Note: Following molecular testing, only isolates determined to be harbouring a carbapenemase will be included in surveillance.

c) Case identification and isolate submission

All patient specimens with eligible Enterobacterales and/or Acinetobacter spp. (as per Appendix A) will be identified by the hospital microbiology laboratory and sent to the NML with a minimum data set (Appendix B) for detection or confirmation of carbapenemase production. Laboratories who perform their own molecular testing should submit to the NML only isolates which are confirmed to produce carbapenemases, or which they suspect contain a carbapenemase not detected by their testing. If there are multiple isolates from one patient and laboratories are only sending one isolate, please submit the isolate from the most invasive specimen, and otherwise please submit all isolates.

Note: Appendix B must be included with the shipment AND emailed to the NML at phac.nml.ARNIRAIN.lnm.aspc@canada.ca. It is important that when isolates are submitted to the NML that they are identified as CNISP isolates otherwise they will not be included in CNISP surveillance.

The NML will send the carbapenemase testing results via email to the CNISP hospital.

d) Case reporting

The carbapenemase testing results may be used to confirm the hospital’s own molecular testing or if the hospital does not do molecular testing this report will indicate for which isolate(s) to submit a patient questionnaire. A patient questionnaire (Appendix C) should be completed for all carbapenemase-producing Enterobacterales and/or Acinetobacter spp. For data quality purposes, please ensure that data submitted on Appendix B matches data submitted on the patient questionnaire (Appendix C) (e.g. age, sex, pathogen, site of isolation etc.). The patient questionnaire (Appendix C) should be completed based on the isolate submitted to the NML (Appendix B).

Please submit all patient questionnaires by email to CNISP at phac.cnisp-pcsin.aspc@canada.ca.

Please assign a unique patient identifier as follows: CHEC site number, surveillance year then consecutive number (e.g., 99ZYY001). Note: When multiple isolates are submitted for the same patient in the same surveillance year, please indicate by adding a suffix A or B etc. to the case number (e.g. 99ZYY001A and 99ZYY001B).
e) Denominator data

Denominator data will be collected on the quarterly denominator form.

The data collected will include:

1) total number of patient admissions per year
2) total number of inpatient-days per year

f) Data management and reporting

The NML will maintain a database of all eligible isolates. This database will include all data submitted on Appendix B and laboratory testing results. The Ottawa CNISP team will maintain an epi database of all patient questionnaire data. Once the laboratory analysis is complete, the lab and epi data will be merged.

Patients with multiple CPO positive isolates will only be included in the rates once based on the isolate from the most invasive site. If the patient was initially colonized with a CPO and subsequently develops a CPO infection with the same gene, within the surveillance year, the colonization will be excluded from the rates and only the infection will be included.

g) Environmental sampling

If possible, please consider screening drains at discharge for CPO positive patients. Please swab all drains in the patient room and bathroom before a cleaning protocol is implemented. Please complete and send Appendix B to the NML along with the CPO positive environmental swab(s). On Appendix B, under site of isolation please select environmental (ENV) and indicate site (drain, sink, etc.). Please use the same unique PID assigned to the patient whose room was swabbed and add a suffix E1 or E2 etc. to the case number (e.g. 99ZYY001E1 and 99ZYY001E2).

Attached Appendices:

Appendix A. Laboratory considerations for determining carbapenem resistance and carbapenemase production in Gram-negative bacilli to determine eligibility for inclusion in surveillance
Appendix B. Carbapenemase-Producing Gram-Negative Bacilli Specimen Surveillance Form
Appendix C. CPO Patient Questionnaire
Appendix D. Algorithm for CNISP CPO Surveillance
Appendix E. Carbapenem-Resistant Gram-Negative (CRGN) Organisms
Appendix F. Data dictionary – definition and notes for patient questionnaire
APPENDIX A – Laboratory considerations for determining carbapenem resistance and carbapenemase production in Gram-negative bacilli to determine eligibility for inclusion in surveillance

All *Enterobacterales* and *Acinetobacter spp.* that meet at least one of the following criteria should be submitted to the NML:

1) Tested fully resistant to a carbapenem based on the current CLSI.2018.M100-ED28\(^1\) zone diameters and/or MIC values as listed below:

<table>
<thead>
<tr>
<th>At least ONE of the following:</th>
<th><strong>Enterobacterales:</strong></th>
<th><strong>Acinetobacter:</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>MIC (µg/ml)</td>
<td>Disk diffusion (mm)</td>
</tr>
<tr>
<td>Imipenem</td>
<td>≥ 4</td>
<td>≤ 19</td>
</tr>
<tr>
<td>Meropenem</td>
<td>≥ 4</td>
<td>≤ 19</td>
</tr>
<tr>
<td>Doripenem</td>
<td>≥ 4</td>
<td>≤ 19</td>
</tr>
<tr>
<td>Ertapenem</td>
<td>≥ 2</td>
<td>≤ 18</td>
</tr>
</tbody>
</table>

2) Tested positive for a carbapenemase in laboratories that conduct molecular testing (PCR) or immunochromatographic lateral flow assay for specific enzymes (e.g. K-SeT). Laboratories should be aware that commercial tests may include only the most common carbapenemases i.e. KPC, OXA-48, NDM, and may not include more rare ones i.e. VIM, IMP, GES, NMC-A/IMI, SME, and others. If the molecular test is negative but a laboratory suspects the presence of a carbapenemase, the isolate should be further tested by the submitting laboratory, their Provincial Laboratory, or the NML. Isolates then confirmed to harbour a carbapenemase are eligible for inclusion in surveillance.

3) Tested positive for carbapenemase production by a phenotypic test such as the mCIM, CARBA-NP or a commercial equivalent, or Beta-Carba test. These tests can help determine if a suspected CPO that was negative by molecular testing does in fact harbour a carbapenemase. Note however, that these tests can produce false negatives for poorly expressed enzymes (likely have low MICs), enzymes that only slowly hydrolyze carbapenems (e.g. OXA-48-group, GES-type), or non-specificity of the test for certain enzymes (e.g. SME, NMC-A/IMI, GES-type by Beta-Carba test).

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\(^1\) CLSI. Performance standards for antimicrobial susceptibility testing; 24th informational supplement. CLSI document M11-S27. CLSI, Wayne, PA.
Due to the importance of the timely identification of these organisms for treatment and infection control purposes, we strongly encourage you to send isolates that meet the study definition to the NML as soon as possible – at least once every three months. Timely submission is especially important if you have additional evidence (phenotypic or molecular) that the isolate is harbouring a carbapenemase or if you suspect it is part of an outbreak. In addition, we strongly recommend you alert your provincial public health authorities.

To ensure that the NML receives all isolates (and avoids receiving duplicate isolates), we would appreciate if you would inform the NML if the isolate(s) you shipped to the NML were also sent to your provincial laboratory. The provincial laboratory may have forwarded the same isolates to the NML for routine testing (non-CNISP) and they would have been assigned an NML number (e.g. N18-01234). Therefore, if you have an NML number please include on Appendix B with a CNISP PID.

Isolates and Appendix B should be submitted to Dr. George Golding at the address below.

Dr. George Golding  
National Microbiology Laboratory  
1015 Arlington St.  
Winnipeg, Manitoba  
R3E 3R2  
Tel: 204-789-8096  
Fax: 204-789-5020  
Use FedEx billing number: 6636-8403-5

Email: phac.nml.ARNI-RAIN.Lnm.aspc@canada.ca
APPENDIX B – Carbapenemase-Producing Gram-Negative Bacilli Specimen Surveillance Form

Instructions: All fields of this questionnaire should be filled out and sent to the NML (care of Dr. Golding) along with the patient specimens. The specimens should be clearly labelled with their unique patient identifier.

Important: Please email phac.nml.ARNi-RAIN.Inm.aspc@canada.ca the day of shipping to allow tracking of the shipment

PLEASE CLICK ON THE ICON BELOW TO ACCESS THE EXCEL SHIPPING FORM
APPENDIX C – CPO Patient Questionnaire

Surveillance for inpatients with Carbapenemase-Producing Enterobacterales (CPE) or Carbapenemase-Producing Acinetobacter (CPA) Infection or Colonization

<table>
<thead>
<tr>
<th></th>
<th>Question</th>
<th>Options</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Which laboratory conducted carbapenemase confirmatory testing for this case?</td>
<td>□ NML □ Provincial laboratory □ Hospital laboratory</td>
</tr>
<tr>
<td>2</td>
<td>Does this patient meet the criteria for an infection or colonization?</td>
<td>□ Infection&lt;sup&gt;2&lt;/sup&gt; □ Colonization</td>
</tr>
<tr>
<td>3</td>
<td>CHEC site #</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Unique patient identifier</td>
<td>(CHEC site #) (year) (case number)</td>
</tr>
<tr>
<td>5</td>
<td>Patient ward</td>
<td>□ ICU □ NICU □ Medical ward □ Surgical ward □ Other, specify ____________________________</td>
</tr>
<tr>
<td>6</td>
<td>Date of birth</td>
<td>OR Age __________   □ Years □ Months □ Days</td>
</tr>
<tr>
<td>7</td>
<td>Sex</td>
<td>□ Male □ Female □ Unknown</td>
</tr>
<tr>
<td>8</td>
<td>Date of admission</td>
<td><strong><strong>/</strong>__<strong>/</strong></strong>__   □ dd mmm yyyy</td>
</tr>
<tr>
<td>9</td>
<td>Type of CPO isolate</td>
<td>□ Screening isolate □ Clinical isolate</td>
</tr>
<tr>
<td>10</td>
<td>Date of positive culture</td>
<td>(Specimen collection date from which the positive organism was isolated) □ dd mmm yyyy</td>
</tr>
</tbody>
</table>

<sup>2</sup> Infection is determined using the 2017 CDC/NHSN surveillance definitions for specific infections, and in accordance with the best judgment of the healthcare practitioner. These criteria can be accessed at [URL:www.cdc.gov/nhsn/PDFs/pscManual/17pscNosinfDef_current.pdf](URL:www.cdc.gov/nhsn/PDFs/pscManual/17pscNosinfDef_current.pdf)
<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
</table>
| 11 | **Organism isolated**  
*(Please select only one organism)* | □ *Acinetobacter baumannii*  
□ *Serratia spp.*  
□ *Klebsiella pneumoniae*  
□ *Enterobacter spp.*  
□ *Escherichia coli*  
□ *Proteus spp.*  
□ *Morganella morganii*  
□ *Citrobacter spp.*  
□ *Klebsiella oxytoca*  
□ *Enterobacter cloacae*  
□ *Citrobacter freundii*  
□ *Serratia marcescens*  
□ Other, specify _____________________ |
| 12 | **Site of isolation**  
*(Please select the site of isolation for the isolate that was submitted to the NML)* | □ Blood  
□ Wound  
□ Skin/soft tissue  
□ Urine  
□ Surgical site  
□ Stool/rectal swab  
□ Sputum/Endotracheal secretions/BAL  
□ Other, specify: _____________________ |
| 13a | **Where was CPO acquired?** | □ HA (your facility)*3  
□ HA (Other Canadian healthcare exposure)*4  
*skip to Q14a*  
□ HA (Other healthcare facility outside of Canada)*5  
*skip to Q14a*  
□ Community-Associated*6  
*skip to Q14a*  
□ Unable to determine  
*skip to Q14a*  
□ Not HA (your facility) – N/A  
□ Sink/Drain  
□ Other environment exposure, specify: _____________________  
□ Device/procedure (e.g. ERCP) specify: _____________________  
□ Another patient (e.g. contact tracing, outbreak investigation). If possible please specify the unique PID _____________________ |

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*3 Patient is on or beyond calendar day 3*3 *of their hospitalization OR has had a healthcare exposure at your facility that would have resulted in this infection or colonization (using best clinical judgement)*

*4 Any patient who has an infection or colonization not acquired at your facility that is thought to be associated with another healthcare exposure in Canada (e.g. another acute-care facility, long-term care, rehabilitation facility, clinic or exposure to a medical device).*

*5 Any patient who has an infection or colonization not acquired at your facility that is thought to be associated with another healthcare exposure outside of Canada (e.g. another acute-care facility, long-term care, rehabilitation facility, clinic or exposure to a medical device).*

*6 No exposure to healthcare that would have resulted in this infection or colonization (using best clinical judgement) and does not meet the criteria for a healthcare-associated infection or colonization.*
<table>
<thead>
<tr>
<th>Question</th>
<th>Options</th>
</tr>
</thead>
</table>
| 14a Is there any evidence of international travel in the 12 months prior to CPO diagnosis? | □ No, there is no evidence of international travel (skip to Q15)  
□ Yes, specify where travelled to _______________  
□ Unable to determine |
| 14b If travelled internationally, is there evidence the patient received medical care where they travelled to? | □ No evidence of international travel  
□ Yes, there is evidence that the patient sought medical care while on international travel.  
□ No, there is no evidence that the patient sought medical care while on international travel  
□ Unable to determine |
| 15 Is there any evidence of international travel by a member of the household or caregiver in the 12 months prior to the patient’s CPO diagnosis? | □ No, there is no evidence of international travel  
□ Yes, specify where travelled to _______________  
□ Unable to determine |
| 16 Is there evidence the patient has underlying medical condition(s)?  
Check all that apply | □ No evidence of any underlying medical condition  
□ Yes (please check all that apply)  
□ Diabetes  
□ Liver disease  
□ HIV infection  
□ Cancer (active)  
□ Lung disease (e.g., asthma, COPD)  
□ Kidney disease (include all patients on dialysis)  
□ Solid organ transplantation  
□ Bone marrow transplantation  
□ Other immunosuppression, specify ________  
□ Heart disease  
□ Other, specify ________________  
□ Unknown |
| Q17 and Q18 are only to be completed for infected cases                  | Note: Only complete this question for infected cases  
| 17 Was ICU admission required due to complications associated with CPO infection? | □ Yes  
□ No  
□ N/A – patient was already in ICU  
□ Unknown |
| Note: Only complete this question for infected cases  
Patient outcome **30 days** after positive CPO diagnosis? | □ Patient alive, still in hospital  
□ Patient survived and discharged  
Date of discharge ........ / ........... / ...........  
□ Patient survived and transferred  
Date of transfer ........ / ........... / ...........  
□ Patient died  
Date of death ........ / ........... / ........... |
| 19a | Were any sinks or shower drains tested for CPO related to this patient? | □ No (end of patient questionnaire) □ Yes, please go to Q18b. |
| 19b | If yes, please complete if possible: | |
| | Patient sink in bathroom | □ positive □ negative □ culture not done | (CHEC site #) (year) (case number) |
| | Staff hand hygiene sink | □ positive □ negative □ culture not done | (CHEC site #) (year) (case number) |
| | Patient shower/tub drain | □ positive □ negative □ culture not done | (CHEC site #) (year) (case number) |
| | Communal shower drain | □ positive □ negative □ culture not done | (CHEC site #) (year) (case number) |
| | Other, specify: | □ positive □ negative □ culture not done | (CHEC site #) (year) (case number) |
APPENDIX D: ALGORITHM for CNISP CPO SURVEILLANCE

Patient admitted to any ward/department of your hospital and identified with carbapenem-resistant Enterobacterales AND / OR Acinetobacter spp.

- No
  - Exclude from surveillance

- Yes
  - At least ONE of:
    - Enterobacterales:
      - MIC (μg/mL)
      - Disk diffusion (mm)
    - Acinetobacter:
      - MIC (μg/mL)
      - Disk diffusion (mm)

      |              | Enterobacterales: | Acinetobacter: |
      |--------------|-------------------|---------------|
      | Imipenem     | ≥4                | ≥8            |
      | Meropenem,   | ≥4                | ≥8            |
      | Doripenem    | ≥2                | n/a           |
      | Ertapenem    | ≥2                | n/a           |
      |              | ≤19               | ≤18           |
      |              | ≤19               | ≤14           |
      |              | n/a               | n/a           |

Hospital laboratory identifies eligible isolate(s) for surveillance using 1 or more of the following 3 methods:

1-See table to the right
2-POSITIVE molecular testing (PCR) or immunochromatographic lateral flow assay for carbapenemase presence
3-POSITIVE phenotypic test such as the mCIM, CARBA-NP, Beta-Carba or a commercial equivalent

- No
  - Exclude from surveillance

- Yes
  - Complete the Specimen Surveillance Form (Appendix B) and send it with the isolate(s) to the NML (care of Dr. Golding). Please also email Appendix B to the NML at phac.nml.ARN-RAIN.lnm.aspca@canada.ca

PCR detection (by NML) of isolated Enterobacterales spp. and/or Acinetobacter spp. for the presence of a carbapenemase

- If a carbapenemase is detected
  - No
    - No
    - Yes
    - Yes

  - Yes
    - Yes

The NML sends a report of all PCR results to the CHEC site

CHEC site completes a patient questionnaire (Appendix C) for all CPE and CPA infections and colonizations and submits to: phac.cnisp-pcsin.aspca@canada.ca.

Dr. George Golding
National Microbiology Laboratory
1015 Arlington St.
Winnipeg, Manitoba R3E 3R2
Tel: 204-789-8096; Fax: 204-789-5020
FedEx billing number: 2299-8435-7
Email george.golding@canada.ca
APPENDIX E: Carbapenem-Resistant Gram-Negative (CRGN) Organisms

CRO
Carbapenem - resistant organisms
Any organism resistant by Canadian Laboratory Standards Institute (CLSI) guidelines to a carbapenem (ertapenem, meropenem, imipenem, doripenem)
May be Gram-negative or Gram-positive organism

CRGN
Carbapenem-resistant gram negative bacilli

CRE
Carbapenem-resistant Enterobacteriaceae
resistant to a carbapenem by CLSI guidelines
Mechanism may be either by acquisition of a carbapenemase gene e.g. NDM-1, OXA-48, KPC, VIM, IMP
OR BY
other cellular mechanisms e.g. permeability changes (efflux overexpression, porin mutations), chromosomal β-lactamase upregulation

CRA
Carbapenem-resistant Acinetobacter

CPA
Carbapenemase-producing Acinetobacter

CPI-E
Carbapenemase-producing non-Enterobacteriaceae
Organism that produces a carbapenemase i.e. an enzyme that hydrolyses a carbapenem.
Carbapenemase may be acquired (plasmid) or intrinsic (chromosomal)
e.g. Acinetobacter spp., Pseudomonas spp.

Non-CPE
Non-Carbapenemase-producing Enterobacteriaceae
Carbapenem-resistant Enterobacteriaceae resistant to a carbapenem by CLSI guidelines via other cellular mechanisms e.g. permeability changes (efflux overexpression, porin mutations), chromosomal β-lactamase upregulation (does not produce carbapenemase)

CPE
Carbapenemase-producing Enterobacteriaceae
Same as CPO but limited to Enterobacteriaceae that harbour a carbapenemase gene. Mechanism may be intrinsic or by acquisition of a carbapenemase gene e.g. NDM-1, OXA-48, KPC, VIM, IMP

**Blue dashed line indicates indirect relationship**
APPENDIX F - Data Dictionary - Definitions and notes for Patient Questionnaire (Appendix C)

1. Which laboratory conducted confirmatory carbapenemase testing for this case?
Please indicate which laboratory confirmed that this case is CPO positive. Please check all that apply.

2. Does this patient meet the criteria for an infection or colonization?
Please indicate if this case is colonized or infected. Infection is determined using the CDC/NHSN surveillance definitions for specific infections AND in accordance with the best judgment of the infection control and/or healthcare practitioner. These criteria can be accessed at URL: http://www.cdc.gov/nhsn/PDFs/pscManual/17pscNosInfDef_current.pdf

3. CHEC Site #
This will be the 3-character alphanumeric number assigned to your institution. It will always begin with the two digit number assigned to your CHEC member e.g., 07, 15, and a letter assigned by the CHEC member for that specific institution e.g., A, B, C, etc. The CHEC site # for each institution should always be the same for all the CHEC/CNISP surveillance projects and will always have all three alphanumeric digits reported as the CHEC site #, e.g., 07A, 15A.

4. Unique patient identifier
Please assign a unique patient identifier as follows: CHEC site number, surveillance year then consecutive number (e.g., 99ZYY001). Note: When multiple isolates are submitted for the same patient in the same surveillance year, please indicate by adding a suffix A or B etc. to the case number (e.g. 99ZYY001A and 99ZYY001B).

Note: The unique patient identifier assigned to the isolate on Appendix B should correspond to the unique patient identifier on the patient questionnaire (Appendix C).

5. Patient ward
Please indicate the ward the patient was on when the positive specimen was collected (e.g., medical, surgical, ICU).

6. Date of birth
Please enter Day (##), Month (May) and Year (1973) in this order. If the date of birth is not available please enter the patient’s age (in years, months or days) at the time of positive culture.

7. Sex
Check male, female or unknown as appropriate.

8. Date of admission
Please indicate the date when the patient was admitted to the hospital using the following format Day (##), Month (May) and Year (1973).

9. Type of CPO isolate
Please indicate whether the isolate was obtained as a result of screening, a clinical isolate (wound, surgical site, respiratory etc.) or a blood culture.

10. Date of positive culture
For the current admission, please indicate when the isolate that tested CPO positive was collected.

11. Organism isolated
Please select the organism isolated as reported by the laboratory.
12. Site of isolation
Please indicate the site of isolation for the isolate that was submitted to the NML.

13a. Where was CPO acquired?
Please indicate whether the infection was acquired in a healthcare setting (HA) or in the community (CA) according to the following definitions and in accordance with the best clinical judgement of the healthcare and/or infection prevention and control practitioner (IPC). If the site of acquisition cannot be determined, please report as ‘unable to determine’.

**Healthcare-associated (HA) your facility:**

Patient is on or beyond calendar day 3\(^7\) of their hospitalization

OR

Has had a healthcare exposure at your facility that would have resulted in this infection or colonization (using best clinical judgement)

**Healthcare-associated (HA) other Canadian healthcare exposure:**

Any patient who has an infection or colonization not acquired at your facility that is thought to be associated with another healthcare exposure in Canada (e.g. another acute-care facility, long-term care, rehabilitation facility, clinic or exposure to a medical device).

**Healthcare-associated (HA) other healthcare facility outside of Canada**

Any patient who has an infection or colonization not acquired at your facility that is thought to be associated with another healthcare exposure outside of Canada (e.g. another acute-care facility, long-term care, rehabilitation facility, clinic or exposure to a medical device).

**Community-associated (CA):**

No exposure to healthcare that would have resulted in this infection or colonization (using best clinical judgement) and does not meet the criteria for a healthcare-associated infection or colonization.

13b. If healthcare-associated (your facility), is there evidence of any of the following modes of transmission?
Please indicate whether there is any evidence to suggest that this patient became infected/colonized with this CPO through any of the modes listed. If contact with another patient, please specify the unique patient ID of this patient.

14a. Is there any evidence of international travel in the 12 months prior to CPO diagnosis?
Please indicate if the patient has travelled internationally in the 12 months prior to the date of positive culture.

14b. If travelled internationally, is there evidence the patient received medical care where they travelled to?
If answered ‘yes’ to question 14a, please indicate (if possible) whether the patient received medical care while travelling internationally.

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\(^7\)Calendar day 1 is the day of hospital admission
15. Is there any evidence of international travel by a member of the household or caregiver in the 12 months prior to the patient’s CPO diagnosis?
Please indicate (if possible) whether there is any evidence of international travel by a member of the household and/or a caregiver in the 12 months prior to the patient’s CPO diagnosis.

16. Does the patient have any underlying medical conditions?
Please indicate whether the patient has any underlying medical conditions – if yes, check all that apply.

Note: Q16 & Q17 are only to be completed for infected cases

17. Was ICU admission required due to complications associated with CPO infection?
Please indicate whether the patient required admission to ICU as a result of complications associated with acquiring a CPO infection.

18. Patient outcome 30 days after positive CPO culture?
Thirty days after the date of positive culture please select one of the outcome options available.

19. Were any sinks or shower drains tested for CPE related to this patient?
Please indicate yes or no if sinks and/or shower drains were tested for CPE related to this patient. If yes, please indicate the type of sink or drain, whether the swab was CPO positive, negative or not collected and if possible indicate the PID associated with the environmental swab. Please use the same unique PID assigned to the patient whose room was swabbed and add a suffix E1 or E2 etc. to the case number (e.g. 99ZYY001E1 and 99ZYY001E2).
Revision History

June 3, 2014 - added response ‘unable to determine’ to Q8 “Where CPO acquired?” – now Final v2
June 9, 2014 - corrected numbering of questions – now Final v3
July 15, 2014 - added ER visits to denominator data collection – was already added to separate ‘quarterly denominator form’ – now Final v4
October 30, 2014 - Began making changes to homogenize CNISP protocol formatting
December 15, 2014 - Updated the unique patient ID for multiple organisms and/or re-admission to reflect previous nomenclature (i.e. adding suffix A or B).
December 30, 2014 - Updated Q8 to include ‘other Canadian healthcare facility’ and ‘other healthcare facility outside of Canada’. Changed wording of Q13 to clarify evidence of transmission.
2015 - Question Q13 “Is there any evidence that this was a nosocomial-acquired case?” was removed in the 2015 protocol.
October 28, 2015 - Question 15c related to what medical procedure patients were subjected to if they received medical care abroad has been removed.
November 2017 - Added Q13b regarding possible sources/modes of transmission
- Added Q19 - for patients with more than one CPE or CPA infection or colonization in a calendar year, please report the PID of the previous case
- Project name updated to CPO surveillance. Note: reflected in PID format
- Update to PID format: For multiple pathogens, infections, colonizations etc. within same the admission use the same PID with suffix A, B, C etc. **NEW** – use a new PID for a new admission.
July 2018
- Discontinued CPO surveillance of ER and outpatients
- Updated Appendix A to reflect sites that conduct their own molecular testing
- Removed surveillance year as protocol will no longer be updated annually
- Added inclusion and exclusion surveillance criteria
- Removed Q1 from pt questionnaire (Appendix C) and added a question regarding who/where carbapenemase confirmation is conducted.
- Updated definitions for healthcare and community associated

Nov 2018
- Added section on environmental sampling and updated Appendix B accordingly
- Added Q18 – Added question - were any sinks or drains tested for CPO related to this patient
- Added Q14c – Added question regarding evidence of international travel by a member of the household or caregiver