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# Trends in health care-associated infections in acute care hospitals in Canada: an analysis of repeated point-prevalence surveys

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### **ABSTRACT**

BACKGROUND: Health care-associated infections are a common cause of patient morbidity and mortality. We sought to describe the trends in these infections in acute care hospitals, using data from three national point-prevalence surveys.

METHODS: The Canadian Nosocomial Infection Surveillance Program (CNISP) conducted descriptive point-prevalence surveys to assess the burden of health care-associated infections on a single day in February of 2002, 2009 and 2017. Surveyed infections included urinary tract infection, pneumonia, Clostridioides difficile infection, infection at surgical sites and bloodstream infections. We compared the prevalence of infection across the survey years and considered the contribution of antimicrobial-resistant organisms as a cause of these infections.

RESULTS: We surveyed 28 of 33 (response rate 84.8%) CNISP hospitals (6,747 patients) in 2002, 39 of 55 (response rate 71.0%) hospitals (8,902 patients) in 2009 and 47 of 66 (response rate 71.2%) hospitals (9,929 patients) in 2017. The prevalence of patients with at least one health care-associated infection increased from 9.9% in 2002 (95% confidence interval [CI] 8.4%-11.5%) to 11.3% in 2009 (95% CI 9.4%-13.5%), and then declined to 7.9% in 2017 (95% CI 6.8%- 9.0%). In 2017, device-associated infections accounted for 35.6% of all health care-associated infections. Methicillin-resistant *Staphylococcus aureus* (MRSA) accounted for 3.9% of all organisms identified from 2002 to 2017; other antibiotic-resistant organisms were uncommon causes of infection for all survey years.

INTERPRETATION: In CNISP hospitals, there was a decline in the prevalence of health care-associated infection in 2017 compared with previous surveys. However, strategies to prevent infections associated with medical devices should be developed. Apart from MRSA, few infections were caused by antibiotic-resistant organisms.

Health care-associated infections represent substantial burden on health care systems in highly developed countries, including Canada [1-3]. In 2002, health care-associated infection developed in an estimated 5% of patients admitted to hospital in the United States, resulting in 1.7 million infections and 98,000 deaths [1]. A study using 2015 data from the European Antimicrobial Resistance Surveillance Network (EARS-Net) from 30 countries estimated 426,277 infections with antibioticresistant bacteria were associated with health care, with an attributable mortality of 33,110 [2]. A point-prevalence study conducted in 2015 estimated that there were 687,200 health care-associated infections in US hospitals [3].

Timely data on the occurrence of health care-associated infections and antimicrobial resistant organisms in Canadian hospitals are essential to the response to an evolving epidemiologic situation. Internationally, prevalence surveys are widely used to estimate the incidence and burden of disease from these infections [3–10].

The Canadian Nosocomial Infection Surveillance Program (CNISP) provides data on the incidence of selected health care-associated infections and antimicrobial resistant organisms [11–15] and conducted point-prevalence surveys in 2002 and 2009 [16, 17]. In 2017, we replicated a point-prevalence survey in CNISP hospitals, to provide an up-to-date estimate of the burden of health careassociated infections and antimicrobialresistant organisms causing these infections in Canadian hospitals, and to describe the trends observed over time in the three surveys.

# **METHODS**

# Sources of data and study population

The Canadian Nosocomial Infection Surveillance Program is a collaboration of the Public Health Agency of Canada (PHAC) and sentinel hospitals across Canada that participate as members of the Canadian Hospital Epidemiology Committee, a subcommittee of the Association of Medical Microbiology and Infectious Disease Canada (Appendix 1, available at www.cmaj.ca/lookup/suppl/doi:10.1503/cmaj.190361/-/DC1). Canadian Nosocomial Infection

Surveillance Program hospitals from nine provinces participated in the 2002 and 2009 descriptive point-prevalence surveys, and hospitals from all 10 provinces participated in 2017.

Patients of any age who were admitted to a participating CNISP hospital for 48 hours or longer were eligible for inclusion. Patients who had been admitted for less than 48 hours but were admitted within the last month to the survey hospital were also included. We excluded patients admitted to long-term care, maternity, mental health, day surgery or rehabilitation units.

# **Case definitions**

We defined health care-associated infections using the Centers for Disease Control and Prevention (CDC) National Health care Safety Network standard definitions [18], except for central lineassociated bloodstream infections for which we used the CNISP definition [19]. We considered an infection to be present if the patient was symptomatic of, or was receiving antimicrobial therapy to treat, a health care-associated infection on the day of the survey. We collected data on the following: pneumonia, urinary tract infection (UTI), primary and secondary bloodstream infection, infection at surgical sites and infection caused by Clostridioides difficile.

## **Data collection**

We identified eligible patients by hospital census on a specified day in February of each survey year. The 2002 survey was conducted in February owing to the timing of budget allocation. To limit the influence of seasonal variation in health care-associated infections and to permit comparison among surveys, the 2009 and 2017 surveys were also conducted in February.

Experienced and trained staff reviewed the medical records of eligible patients for demographic data (age, sex, date of admission and type of ward where the patient was located on the day of the survey) and information on health care-associated infection (infection type, specimen collection date and microbiological etiology when available). In 2017, we collected data on ventilator-

associated pneumonia, surgical site infections associated with a prosthetic implant, catheter-associated UTI and central line-associated bloodstream infections. We collected data on methicillin-resistant Staphylococcus aureus (MRSA), vancomycin-resistant enterococci and extended-spectrum β-lactamaseproducing organisms for all three surveys. Carbapenemase-producing organisms emerged as a concern in Canada in 2010 and were surveyed in 2017 only [14]. Hospital staff who were experienced in collection of surveillance data, use of National Health care Safety Network case definitions and trained in the use of the prevalence survey protocol (infection control professionals) collected data on a standardized form and submitted these forms to the PHAC for data entry, validation and analysis.

We performed double-entry verification, and any inconsistencies in the data were compared with the submitted form and verified by the hospital if required. The Canadian Nosocomial Infection Surveillance Program collects hospital-level data (e.g., bed size, specialized services provided and type of hospital) annually using a standardized hospital profile form. We extracted hospital profile data for CNISP hospitals that participated in the three surveys and included this data in the analysis.

# Statistical analysis

We analyzed the data using SAS software (version 9.3). We compared the characteristics of participating hospitals and patients who were surveyed, the prevalence of health care-associated infections and organisms causing infection using standard differences, [20]  $\chi^2$  tests, Fisher-Freeman-Halton exact tests for categorical variables or Kruskal-Wallis tests for continuous variables. We considered a two-sided p value of 0.05 or less as significant.

We calculated the prevalence of health care-associated infection as the percentage of the number of patients with at least one infection over the total number of patients surveyed. We used Poisson regression with the survey year as the exposure variable to calculate the differences in prevalence of infection. We used generalized estimating

equations to account for clustering by hospital, and to calculate *p* values and robust standard errors.

# **Ethics approval**

These surveys were either considered exempt as quality assurance projects or approved by the research ethics boards at participating hospitals if required by institution-specific policies.

# **RESULTS**

Twenty-eight of 33 CNISP acute care hospitals (6,747 patients) participated in the 2002 point-prevalence survey (response rate 84.8%), 39 of 55 hospitals (8,902 patients) in 2009 (response rate 71.0%) and 47 of 66 hospitals (9,929 patients) in 2017 (71.2% response rate). Table 1 provides the characteristics of the participating hospitals. Over the three surveys, the hospitals remained similar with respect to geographic distribution, bed size, hospital type and specialized services provided.

Table 2 provides the characteristics of the patients who were surveyed. Although there were differences in the age distribution and there was an increased proportion of patients located in the intensive care unit (ICU) in 2017, the size of the effect was small (< 0.2) for all characteristics.

For all three surveys combined, a total of 2,647 health care-associated infections were reported in 2,447 patients with infection (1.08 health care-associated infections per infected patient).

The prevalence of patients with at least one health care-associated infection increased from 9.9% in 2002 (95% confidence interval [CI] 8.4%-11.5%) to 11.3% in 2009 (95% CI 9.4%-13.5%) followed by a significant decline to 7.9% in 2017 (95% CI 6.8%-9.0%). For all three surveys combined, prevalence of health care-associated infection was higher in patients admitted to ICU, where 16.2% of these patients had at least one health care-associated infection compared with 8.7% of patients in all other units combined (p < 0.001). We observed a major decline in the prevalence of infection in patients in the ICU, decreasing from 20.1% in 2002 (95% CI 15.8%-25.5%) to 17.8% in 2009 (95% CI 13.9%-22.8%) to 12.6% in 2017 (95% CI 10.1%-15.7%).

In an analysis restricted to the 18 hospitals that participated in all three surveys, we found that the prevalence of patients with a health care-associated infection was 9.8% in 2002 (95% CI 7.8%-12.2%) 10.4% in 2009 (95% CI 7.9%-13.7%) and 8.0% in 2017 (95% CI 6.4%-10.1%). Similarly, the prevalence of health care-associated infections in patients in the ICU in these 18 hospitals also declined from 20.2% in 2002 (95% CI 14.9%-27.4%) to 14.3% in 2009 (95% CI 9.9%-20.5%) to 13.9% in 2017 (95% CI 10.8%-17.8%).

Over the three surveys, UTIs (31.9%) were the most common infection type, followed by pneumonia (23.4%), surgical site infection (20.2%), bloodstream infection (15.2%) and C. difficile infection (9.3%). The prevalence of patients with a UTI, surgical site infection and C. difficile infection declined over time, although not significantly. However, the prevalence of patients with pneumonia and bloodstream infection did significantly decrease from 2.9% in 2002 (95% CI 2.4%-3.6%) to 2.7% in 2009 (95% CI 2.1%-3.5%) to 1.8% in 2017 (95% CI 1.5%-2.3%) for pneumonia, and from 1.8% in 2002 (95% CI 1.4%-2.4%) and 2009 (95% CI 1.4%-2.3%) to 1.2% in 2017 (95% CI 0.9%-1.5%) for bloodstream infection (Figure 1).

In 2017, device-associated infections (i.e., ventilator-associated pneumonia, catheter-associated UTI, surgical site infections associated with a prosthetic implant and central line-associated bloodstream infection) accounted for 35.6% of all health care-associated infections (278 of 780 infections). Of the device-associated infections, catheter-associated UTI accounted for 37.1%, ventilator-associated pneumonia for 22.3%, central line-associated bloodstream infection for 21.2% and surgical site infections associated with a prosthetic implant for 19.4%.

Table 3 presents some selected antimicrobial resistant organisms that cause health care-associated infection. Overall, antimicrobial-resistant organisms remained an uncommon cause of health care-associated infection across all

survey years. The most common resistant organism was MRSA, which was present in 6.2% of pneumonia infections, 5.6% of bloodstream infections, 5.0% of surgical site infections and 1.1% of UTIs. Of organisms associated with a bloodstream infection, the proportion of MRSA more than doubled from 3.8% in 2009 to 8.5% in 2017 (p = 0.1). Vancomycin-resistant enterococci infrequently caused infection at any site (1.0%, 0.5% and 0.8% of organisms associated with UTIs, surgical site infection and bloodstream infection, respectively). Carbapenemase-producing organisms were identified in only three infections (two Escherichia coli and one Enterobacter species) in the 2017 survey. Infections associated with extendedspectrum β-lactamases significantly increased in frequency between 2002 (0.4%) and 2017 (2.8%) (p = 0.01), and were most common in patients with UTIs.

Among all health care-associated infections, the percentage of S. aureus isolates that were methicillin resistant

remained consistent from 31.4% (2002) to 28.3% (2009) to 31.4% (2017). Conversely, the percentage of Enterococcus species isolates that were vancomycin-resistant increased from 1.9% (2002) to 5.0% (2009) to 8.2% (2017) (p = 0.12).

# **INTERPRETATION**

We tracked the burden of health careassociated infections among sentinel Canadian acute care hospitals based on findings from three repeated pointprevalence surveys performed in 2002, 2009 and 2017. We found a significant reduction in health care-associated infections, representing a 30.1% decline in prevalence from 2009 to 2017. For patients in the ICU, we found a 29.2% decline in prevalence of infection from 2009 to 2017. Of the different types of infections measured in all three surveys, the prevalence of pneumonia and bloodstream infection significantly declined; however, we also observed a

TABLE 1: Selected characteristics of participating hospitals for the pointprevalence surveys (2002, 2009 and 2017).

Variable	Mo. (%) of hospitals*				
	2002 n = 28	2009 n = 39	2017 n = 47	p value	
Participating provinces	BC, AB, SK, MB, ON, QC, NL, NB, NS	BC, AB, SK, MB, ON, QC, NL, NB, NS	BC, AB, SK, MB, ON, QC, NL, NB, NS, PEI		
Region					
Eastern Canada?	6 (21.4)	8 (20.5)	13 (27.7)		
Central Canada?	12 (42.9)	16 (41.0)	16 (34.0)	0.9	
Western Canada§	10 (35.7)	15 (38.5)	38 (36.3)		
Hospital bed size					
Median (IQR)	441 (246-620)	342 (165-487)	290 (203-436)	0.2	
Mean ± SD	445 ± 241	354 ± 233	343 ± 222		
Hospital type					
Adult	13 (46.4)	20 (51.3)	23 (48.9)		
Mixed	9 (32.1)	12 (30.8)	17 (36.2)	1.0	
Pediatric	6(21.4)	7 (18.0)	7 (14.9)		
Specialized services					
icu	28 (100)	37 (94.9)	44 (93.6)	0.45	
Hematology-oncology	19 (67.9)	25 (64.1)	26 (55.3)	0.5	
Dialysis	19 (64.3)	28 (71.8)	31 (66.0)	0.8	
Burn unit	16 (57.1)	14 (35.9)	15 (31.9)	0.1	
Solid organ transplant	15 (53.6)	16 (41.0)	16 (34.0)	0.3	
Teaching hospital					
Yes	28 (100.0)	34 (92.3)	41 (87.2)	9.1	

SEastern Canada Includes Nova Scotia (NS), New Bru

SCentral Canada includes Ontario (DN) and Queber (QC). Strentere Canada includes Manitoba (MS), Saskatchevan

Figure 1: Prevalence of health care-associated infection types in Canada in 2002, 2009 and 2017. Note: BSI = bloodstream infection, CDI = Clostridioides difficile infection, PNEU = pneumonia, SSI = surgical site infection, UTI = urinary tract infection. Bars represent 95% confidence intervals.

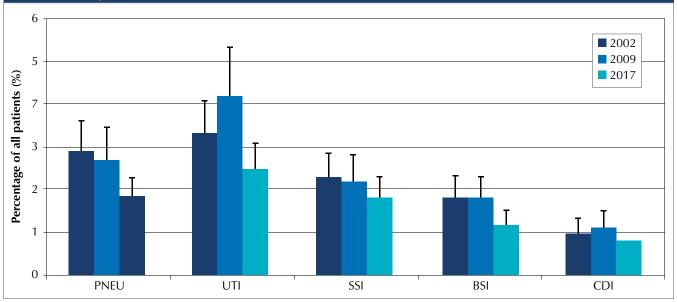


TABLE 2: Selected characteristics of patients who were surveyed in 2002, 2009 and 2017.

	No. (%) of patients*				
Characteristic	2002 n = 6747	2009 n = 8902	2017 n = 9929	Standardized difference?	
Sex, male	3485 (51.7)	4569 (51.5) n = 8865	5217 (52.8) n = 9881	0.03	
Age, mean ± SD; yr	56.1 ± 27.0	57.6 ± 27.9 n = 8869	58.3 ± 27.9 # = 9896	0.08	
Age group, yr		л = 8869	m = 9896		
Infants (+ 1)	493 (7.3)	672 (7.6)	837 (8.5)	0.04	
Children (1-17)	481 (7.1)	619 (7.0)	554 (5.6)	0.06	
Adults (18-64)	2444 (36.2)	3052 (34.4)	3235 (32.7)	0.07	
≥ 65	3329 (49.3)	4526 (51.0)	5278 (53.3)	0.06	
Location of patient in hospital on survey day	n = 6736	n = 8864	n=9912		
Medical/surgical	4882 (72.4)	5934 (86.7)	5664 (57.1)	0.17	
icu	713 (10.6)	1027 (11.5)	1227 (12.4)	0.06	
Adult	296 (4.4)	497 (5.6)	583 (5.9)	0.07	
Neonatal	355 (5.3)	475 (5.4)	534 (5.4)	0.006	
Pediatric	62 (0.9)	55 (0.6)	110 (1.1)	0.05	
Hematology/oncology/bone marrow transplant	295 (4.4)	446 (5.0)	526 (5.3)	0.04	
Pediatrics	336 (5.0)	376 (4.2)	404 (4.1)	0.04	
Critical/coronary care (not ICU)	169 (2.5)	209 (2.4)	348 (3.5)	0.07	
Gynecology/obstetrics	123 (1.8)	153 (1.7)	207 (2.1)	0.03	
Trauma/burn	104 (1.5)	92 (1.0)	115 (1.2)	0.05	
Solid organ transplant	104 (1.5)	184 (2.1)	94 (1.0)	0.09	
Other	10 (0.2)	2 (0.02)	174 (1.8)	0.30	
No. of days patients had been in hospital on survey day, median (IQR)	10 (5-23) n = 6125	11 (5-27) n = 8809	10 (5-24) n = 9841	0.10	

decrease for all other types. In addition, prevalence of health care-associated infections among patients in the ICU markedly declined.

These results are consistent with other CNISP data [11, 20], which suggests improvements in the prevention of health care-associated infections in Canadian acute care hospitals. This trend has occurred despite some changes in hospital-patient populations that would be expected to increase infection risk, such as a higher proportion of patients in the ICU.

No single intervention is likely to have produced a decline in all infection types, suggesting that Canadian hospitals have undertaken multiple interventions to address health care-associated infections [21]. Examples of interventions that have been adopted include improved hand hygiene compliance, multidisciplinary implementation of bundles (e.g., central catheter insertion and maintenance) and antimicrobial stewardship to prevent C. difficile infection [22-24]. In our 2017 survey, device-associated infections accounted for 35.6% of all health careassociated infections. In the future, action to address both the need for and safety of these devices is likely to be the most successful approach to reduce the burden of these infections further.

An important finding of our study is that antimicrobial-resistant organisms other than MRSA remain an uncommon cause of health care-associated infection in the Canadian hospitals that were surveyed; however, their prevalence has increased. Methicillin-resistant *S. aureus* is now widely prevalent as a cause of infection across types, increasingly as a cause of bloodstream infection, reaching 8.5% in 2017. This is a cause for great concern because MRSA associated

bloodstream infection is associated with a mortality rate of greater than 20% in patients admitted to hospital [25].

The prevalence of infection associated with extended-spectrum  $\beta$ -lactamases, while remaining low, was highest in 2017. We collected data on carbapenemase-producing organisms in the 2017 survey and found only three infections. The proportion of MRSA (31.4%) and very low frequency of carbapenemase resistance seen in 2017

TABLE 3: Selected antibiotic-resistant organisms causing health care—associated infection in 2002, 2009 and 2017.

	No. (%) of infections*			Total no. (%) of	
Type of infection	2002	2009	2017	(2002-2017)	p value
Urinary tract infection					
Escherichia coli, ESBL	0 (0.0)	14 (3.6)	9 (3.6)	23 (2.6)	0.01
Stophylococcus aureus, MRSA	2 (9.8)	4 (1.0)	4 (1.6)	10 (1.1)	0.9
Enterococcus species, VRE	2 (0.8)	4 (1.0)	3 (1.2)	9 (1.0)	0.9
Klebsiella species, ESBL	0 (0.0)	2 (0.5)	3 (1.2)	5 (0.6)	0.2
Escherichia coli, CPE	NA	NA	2 (0.8)	2 (0.2)	0.6
Total no. of UTI organisms	238	392	248	878	
Pneumonia					
Stophylococcus aureus, MRSA	10 (5.4)	13 (7.0)	8 (6.1)	31 (6.2)	0.1
Escherichia coli, ESBL	0 (0.0)	1 (0.5)	2 (1.5)	3 (0.4)	0.2
Klebsiella species, ESBL	0 (0.0)	0 (0.0)	1 (0.8)	1 (0.2)	0.6
Total no. of pneumonia organisms	185	187	132	504	
Surgical site infection					
Stophylococcus aureus, MRSA	11 (6.5)	9 (4.0)	10 (4.9)	30 (9.0)	0.7
Enterococcus species, VRE	ID (0.0)	1 (0.4)	2 (1.0)	3 (0.5)	0.2
Escherichia coli, ESBL	0 (0.0)	1 (0.4)	3 (1.5)	4 (0.7)	0.2
Enterobacter species, CPE	NA	NA.	1 (0.5)	1 (0.2)	0.6
Klebsiella species, ESBL	2 (1.2)	0 (0.0)	1 (0.5)	3 (0.5)	0.4
Total no. of surgical site infection organisms	169	224	202	595	
Bloodstream infection.					
Staphylococcus aureus, MRSA	5 (4.8)	6 (3.8)	11 (8.5)	22 (5.6)	0.5
Escherichia coli, ESBL	1 (1.0)	2 (1.3)	0 (0.0)	3 (0.8)	0.2
Enterococcus species, VRE	0 (0.0)	1 (0.6)	2 (1.6)	3 (0.8)	0.2
Allebsietly species, ESBL	0 (0.0)	2 (1.3)	1 (0.8)	3 (0.8)	0.2
Total no. of bloodstream infection organisms	104	157	129	390	
All infections					
MRSA	28 (4.0)	32 (3.3)	33 (4.6)	93 (3.9)	0.9
ESBL	3 (0.4)	22 (2.3)	20 (2.8)	45 (1.9)	10.0
VRE	2 (0.3)	6 (0.6)	7 (1.0)	15 (0.6)	0.3
CPE	NA.	N/A	3 (0.4)	3 (0.1)	0.4
Total no. of organisms	696	960	711	2367	

Note: CPE = carbapenamese producing Enterobacteriocom (surveyed only in 2017), ESB, = extended spectrum (b (actamaseproducing gram-negative bacilli, MRSA = methodile resistant (L aumos, NA = not available (data not collected), UTI = unimary tract infection, VRE = variosity/or -resistant Enterococcus. \*Unites specified informatio. compares to the prevalence of 45% for MRSA and 5% for carbapenemase-producing organisms in a study of infections in a sample of US hospitals in 2015 [3]. However, the rising MRSA bacteremia data and emerging signs of resistant gram-negative infections in 2017 indicates a need for vigilance and preventive actions to avoid a worsening antibiotic-resistance problem among infections in CNISP hospitals.

The prevalence of health careassociated infections in our surveys (11.3% in 2009 and 7.9% in 2017) are higher than those reported by the CDC (4.0% in 2011 and 3.2% in 2015) [3]. This is likely because our surveys represent data from large, tertiary care hospitals that typically serve patient populations at higher risk for infection compared with general hospitals that were included in the CDC surveys. The distribution and trends in infection in our surveys differed from those found by CDC: in their surveys, pneumonia and C. difficile infection were predominant; only surgical site infection and UTI fell in prevalence. The prevalence of health care-associated infections in our 2017 survey (7.9%) was comparable to results reported by a 2016/2017 prevalence survey by the European Centre for Disease Control and Prevention (7.1%) among tertiary care hospitals; [5] however, by excluding low- to very low-risk units such as mental health and maternity, our prevalence could be expected to be slightly higher. Differences in frequency and trends in health careassociated infections among jurisdictions highlights the importance of collecting Canadian data to direct prevention strategies.

# Limitations

Our surveys have several limitations. First, our findings may not be representative of the general inpatient population in Canada because the populations examined in these surveys were mainly in large, tertiary acute care hospitals. However, our results provide a robust estimate of health care-associated infections in hospitals of this type in Canada. The Public Health Agency of Canada is

conducting additional prevalence surveys in hospital settings that were not included or underrepresented in these surveys. Second, results were not disaggregated by province; this was to protect the confidentiality of individual hospitals because some provinces have few reporting hospitals. Third, slight changes to the National Health care Safety Network surveillance definitions occurred between the 2009 and 2017 surveys. For example, both the UTI and pneumonia definitions were more specific in 2017 than in 2009. In 2017, a reduction in follow-up period defining surgical site infections occurred, which could reduce the hospital prevalence of these infections [26]. Fourth, laboratory practices have changed over time; for example, laboratories now use more sensitive assays to detect C. difficile infection, which could result in an increase in prevalence [27]. Nevertheless, by adopting the same methods, timing, similar definitions, hospital type and case mix, we have attempted to minimize the potential for protocol variation. Fifth, there is a risk of inconsistent adjudication considering turnover of hospital staff reviewing the medical charts. However, we provided standardized training to data collectors to reduce inconsistencies in data collection. Sixth, although patients in maternity wards are susceptible to health care-associated infections, they were excluded as most infections among this population present after the patient's brief hospital stay. For consistency, and to permit comparison among surveys, the decision to exclude maternity patients in the 2002 survey was maintained in 2009 and 2017.

# **CONCLUSION**

Using three sequential point-prevalence studies in a sentinel group of Canadian hospitals between 2002 and 2017, we found a reduction in the prevalence of health care-associated infections overall and that infections caused by antimicrobial-resistant organisms remain uncommon. However, continued efforts in infection prevention and control are required to reduce the burden of health care-associated infections further.

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