

Preventing hospital-acquired pneumonia: From knowing to doing

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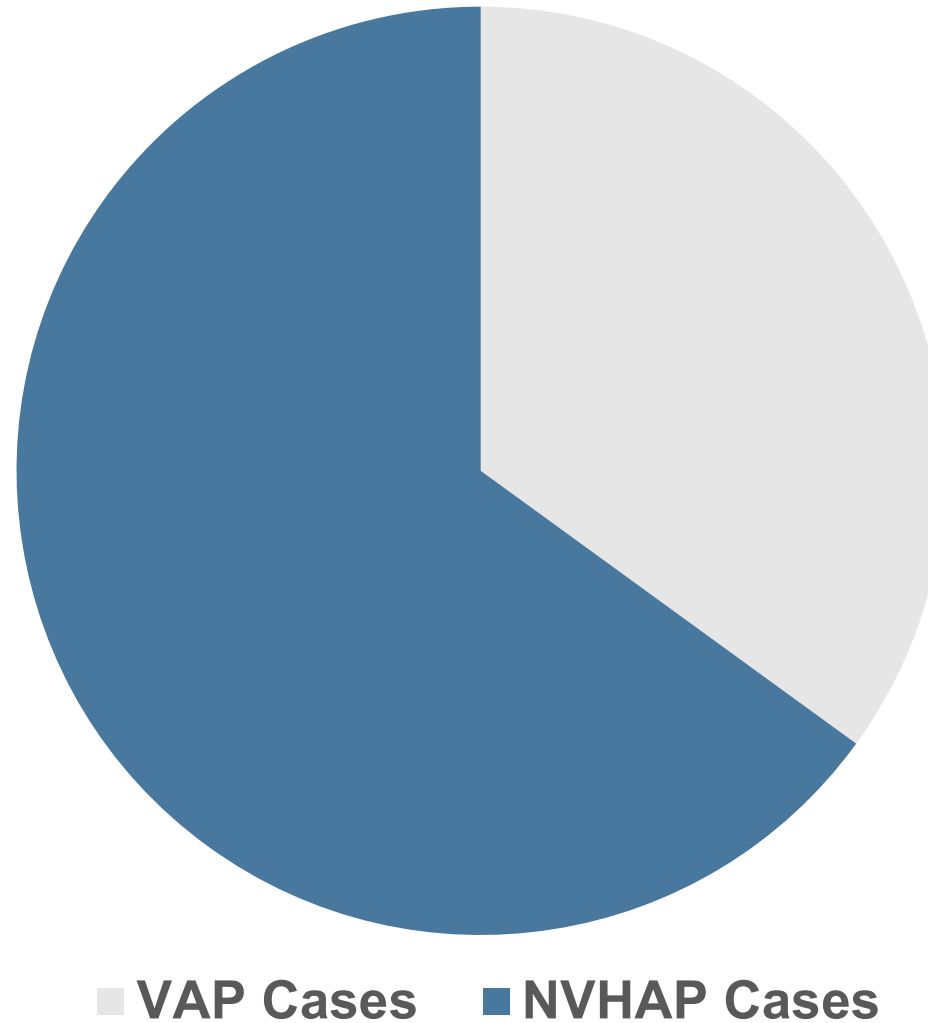
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Objectives

- Discuss the significance of Hospital-Acquired Pneumonia (HAP).
- Describe the etiology and risk factors for HAP.
- Identify updated evidence-based HAP prevention every hospital can adopt.
- Name the three (3) parts of implementation science that will move any evidence-based practice from knowing to doing.

Significance of hospital-acquired pneumonia

2 Types of hospital-acquired pneumonia



Prevalence of hospital-acquired infections in the U.S.

167,000
Patients/year

Pneumonia	24.3%
• VAP	39%
• NV-HAP	61%
Surgical Site	24.1%
Urinary Tract	14%
• CAUTI	84%
GI	19%
• C. diff	71%
Bloodstream	11%
• CLABSI	84%
Other	17%

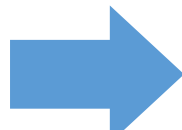
Impact of NV-HAP and VAP

Impact	VAP	NV-HAP
Incidence	0-4.4/1,000 vent days (1, 2)	0.5-2.12/100 admits; 1.23-5.9/1,000 days (3, 4)
Mortality	4.4-13 (1), 19.4 (3), 23% (5)	13.9-22% (3, 4) 7.3% of all hosp. deaths (4)
LOS	28 days (3) and prolongs vent & ICU days (2)	4-15.9 days (3, 4)
Cost	\$40,144 (2)	\$28,000-\$40,000 (3)
30-Day Readmission	23% (6)	19% (7)
Location	ICU only	ALL Units

1. AACN Practice Alert. (2017). Oral care for acutely and critically ill patients. *Critical Care Nurse*, 37(3), e19-e21. 2. Papazian, L., Klompas, M., & Luyt, C.E. (2020). Ventilator-associated pneumonia in adults: A narrative review. *Intensive Care Medicine*, 46, 888-906. Giuliano, Baker, Quinn, 2018. 3. Jones, B.E., Sarvet, A.L., Ying, J. et al. (2023). Incidence and outcomes of non-ventilator-associated hospital-acquired pneumonia in 284 US hospitals using electronic surveillance criteria. *JAMA Network Open*, (5), e2314185. Davis, 2018. 4. Lodise, T.P, Law, A., Spilbury-Cantalupo, M., et al. (2021). Hospital readmissions and mortality among intubated and mechanically ventilated adult subjects with pneumonia due to gram-negative bacteria. *Respiratory Care*, 6(5), 742-750. 5. Baker, D, & Quinn, B. (2018). Hospital-acquired pneumonia prevention initiative-2: Incidence of nonventilator hospital-acquired pneumonia in the United States, *AJIC*, 46, 2-7.

Pneumonia and sepsis

Table: Common sites of infection of patients with severe sepsis by sex and associated crude mortality rates¹



Site of infection	Frequency (%)		Mortality (%)	
	Male	Female	Male	Female
Respiratory	41.8	25.8	22.0	22.0
Bacteremia, site unspec	21.0	20.0	33.5	34.9
Genitourinary	10.3	18.0	8.6	7.8
Abdominal	8.6	8.1	9.8	10.6
Device-related	1.2	1.0	9.5	9.5
Wound/soft tissue	9.0	7.5	9.4	11.7
Central nervous system	0.7	0.5	17.3	17.5
Endocarditis	0.9	0.5	23.8	28.1
Other/unspec	6.7	8.6	7.6	6.5

Up to
50%
of sepsis
cases may
initiate from
pneumonia.²

Recreated based on data from Mayr FB, Yende S, Linde-Zwirble WT, Peck-Palmer OM, Barnato AE, Weissfeld LA, Angus DC. Infection rate and acute organ dysfunction risk as explanations for racial differences in severe sepsis. JAMA 2010; 303:2495-503; PMID:20571016; <http://dx.doi.org/10.1001/jama.2010.851>

1. Mayr, Yende, & Angus. (2014) Epidemiology of severe sepsis, Virulence, 5(1): 4-11 2. Angus & van der Poll. (2013). Severe sepsis and septic shock. NEJM, 369(9), 840-851

Sepsis and CMS

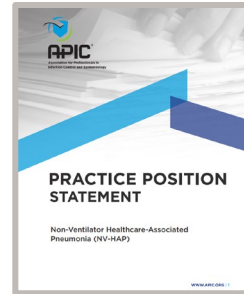


**CMS proposing SEP-1
for VBP in 2026.¹**

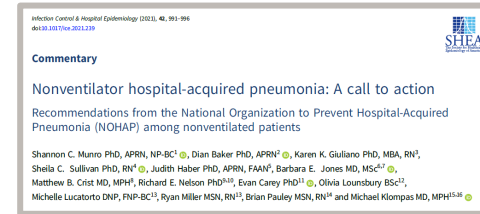
**Key Patient Education
Campaign from CDC to
“Get Ahead of Sepsis”²**

Prevent infection!

NV-HAP a growing concern



2019
APIC published first Practice Position Statement on NVHAP (2)



2021
National Call to Action to prevent NVHAP (4)

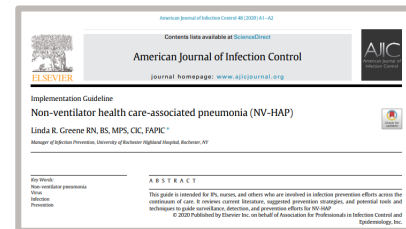


2022
ECRI Top 10 Patient Safety Concerns included NVHAP for the first time (6)

2016
CDC added HAIs to list of top 10 public health problems/concerns (1)



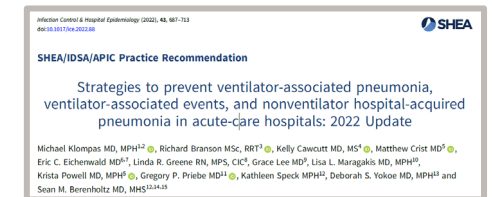
2020
APIC published Implementation Guideline for NVHAP (3)



2021
The Joint Commission issued a Quick Safety #61 (5)

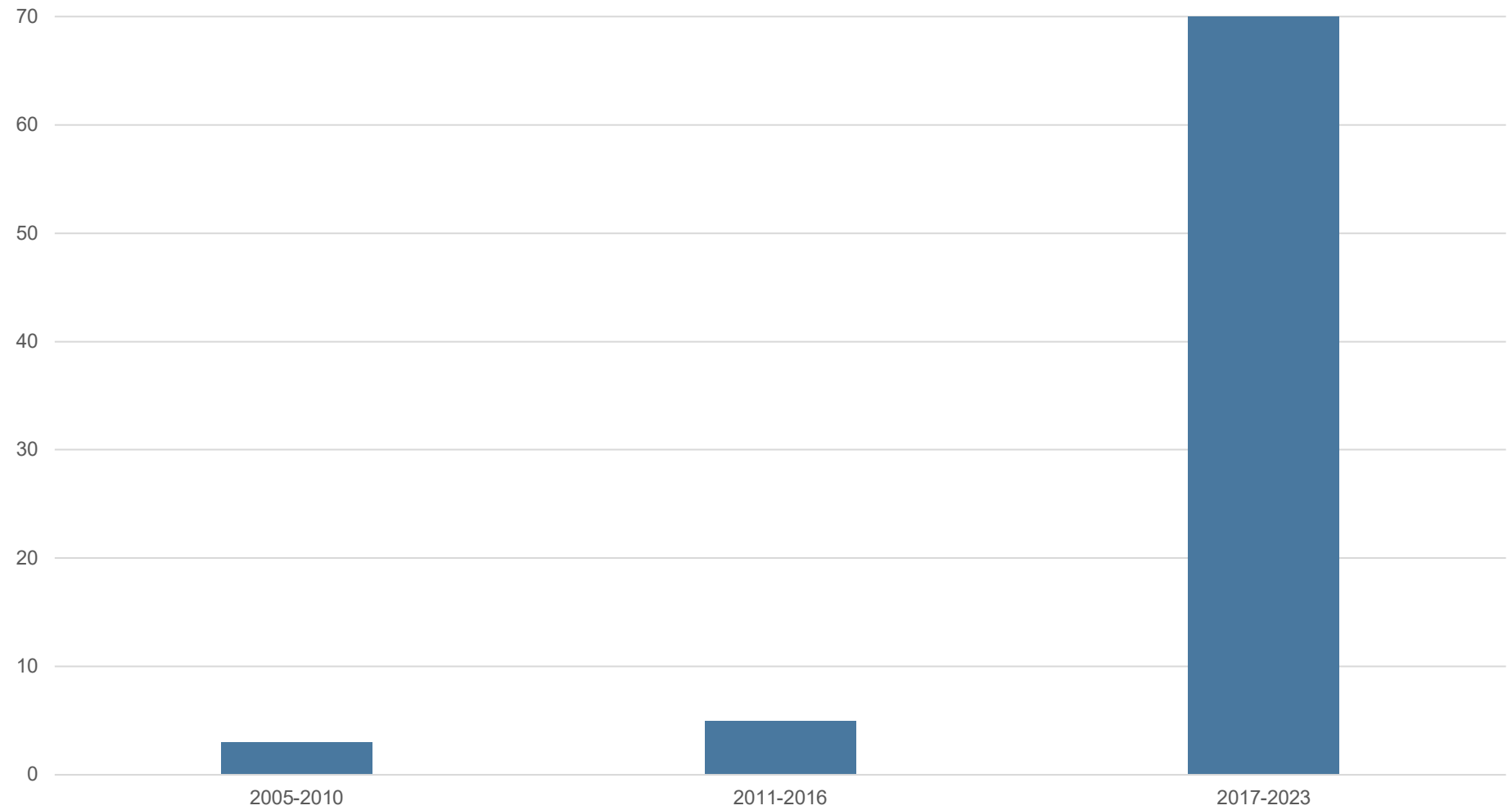


2022
SHEA/IDSA/APIC Practice Recommendation Update includes NVHAP (7)



Publication numbers reflect increased awareness and urgency

PubMed Search query: Non-ventilator hospital-acquired pneumonia Count



Why hospitals should will care about HAP

Impact on Patients	Impact on Organizations	Measures
Leads to avoidable sepsis	Requires recognition & treatment/resources	CMS-HVBP
Requires antibiotic treatment	Requires more antibiotic use, cost, C. diff	The Joint Commission Standard; CMS HAC
Contributes to high mortality rate	Unanticipated patient deaths	CMS Publicly Reported Data
Lowers surgical outcomes (1)	Increases postop resp failure & sepsis	CMS HAC (PSI 11, PSI 13)
Lengthens hospital stay, increases risk of complications	Increases cost, decreases access, reflection of quality	AHRQ Quality Indicator
Increases risk for readmission (2)	Increases 30-day readmission rate	CMS-VBP

Etiology and risk factors for HAP

Etiology of pneumonia

Pathogens

Pathogens that cause pneumonia found in dental plaque

Aspiration

- Swallow impairment
- Saliva escapes into the trachea

Weak host

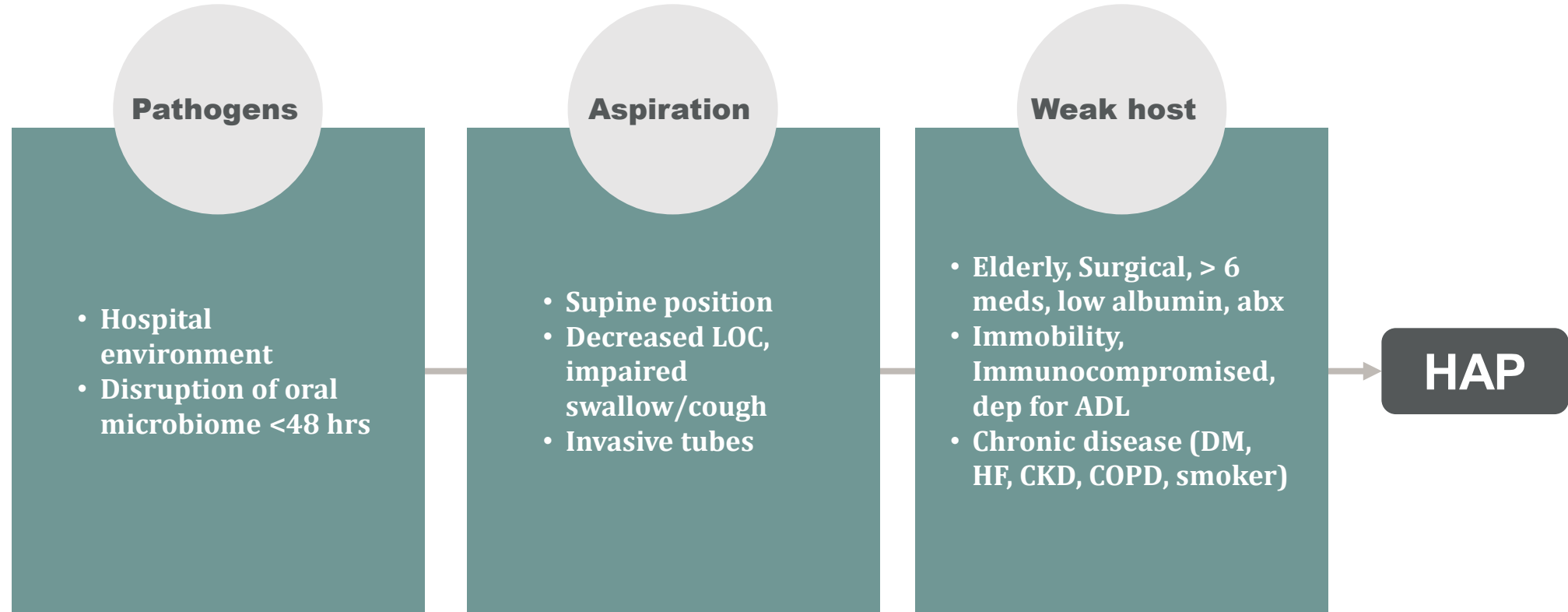
- Weakened immune system
- Malnutrition
- Poor cough

“Identify the most modifiable risk factors and develop prevention programs to address them.”

(Tablan, et al. 2004. Guidelines for preventing HCAP, 2003)



Who is at risk for HAP?





Although some hospital patients are at higher risk for pneumonia than others, ALL patients are at SOME RISK!

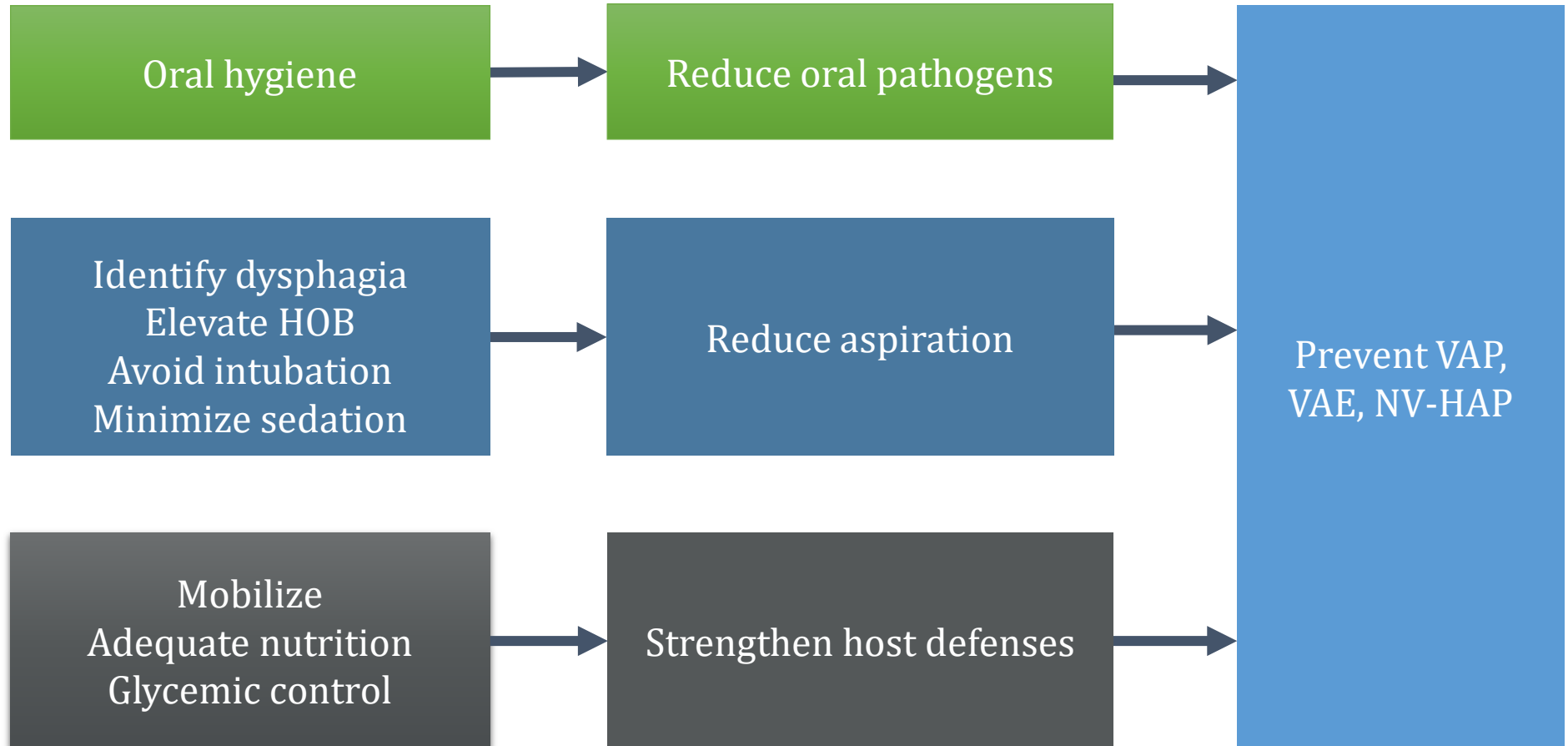
Pneumonia prevention strategies

HAP prevention driver diagram

Secondary drivers

Primary drivers

AIM



Driver #1 to reduce oral pathogens: Mechanical oral hygiene

Infection Control & Hospital Epidemiology (2022), 43, 687–713
doi:10.1017/ice.2022.88



SHEA/IDSA/APIC Practice Recommendation

Strategies to prevent ventilator-associated pneumonia, ventilator-associated events, and nonventilator hospital-acquired pneumonia in acute-care hospitals: 2022 Update

Michael Klompas MD, MPH^{1,2}, Richard Branson MSc, RRT³, Kelly Cawcutt MD, MS⁴, Matthew Crist MD⁵, Eric C. Eichenwald MD^{6,7}, Linda R. Greene RN, MPS, CIC⁸, Grace Lee MD⁹, Lisa L. Maragakis MD, MPH¹⁰, Krista Powell MD, MPH⁵, Gregory P. Priebe MD¹¹, Kathleen Speck MPH¹², Deborah S. Yokoe MD, MPH¹³ and Sean M. Berenholtz MD, MHS^{12,14,15}

- Only intervention to address source control ¹
- Most evidence ²
- Most effective compared to other interventions ^{2,3}
- Recommended by experts
- Low risk, low-tech, low-cost
- Added as ESSENTIAL PRACTICE in the 2022 Updated SHEA Guidelines ⁴ Low-risk

All oral care is not created equal



Evidence-based oral care equipment



Brought to you by the **ADA**.

- Small, soft-bristled toothbrush
- Therapeutic toothpaste that removes plaque
 - Fluoride and/or sodium bicarbonate
- OTC alcohol-free, antiseptic mouth rinse
 - Hydrogen peroxide or Cetylpyridium chloride (CPC)
 - Chlorhexidine for basic oral care not recommended
- Petroleum-free mouth and lip moisturizer
- Suction toothbrush, as needed
- Denture care supplies

Use with caution

(1)



(2)



(3, 4, 5)



1. Pearson LS, Hutton JL. A controlled trial to compare the ability of foam swabs and toothbrushes to remove dental plaque. *J Adv Nurs*. 2002;39(5):480-489. 2. Coleman, P. Improving oral health care for the frail elderly: A review of widespread problems and best practices. 2002. 23(4), 189-198. 3. Klompas, M., Branson, R., Cawcutt, K., et al. (2022). Strategies to prevent VAP, ventilator-associated events, and NV-HAP in acute-care hospitals: 2022 update. *ICHE*, 43, 687-713. 4. Dale, C.M., Rose, L., Carbone, S., et al. (2021). Effect of oral chlorhexidine de-adoption and implementation of an oral care bundle on mortality for mechanically ventilated patients in the intensive care unit (CHORAL): A multi-center stepped wedge cluster-randomized controlled trial. *Intensive Care Medicine*, 47, 1295-1302. 5. Wei, J., He, L., Weng, F., et al. (2021). Effectiveness of chlorhexidine in preventing infections among patients undergoing cardiac surgeries: A meta-analysis and systematic review. *Antimicrobial Resistance & Infection Control* 10, 140.

Driver #2: Reduce aspiration

1

**Identify
and treat
dysphagia**

2

**Elevate the
head of bed**

3

**Avoid
intubation**

4

**Minimize
sedation**

Driver #2: Reduce aspiration

1

**Identify
and treat
dysphagia**

2

Elevate the
head of bed



3

**Avoid
intubation**

4

**Minimize
sedation**

Driver #2: Reduce aspiration

1

**Identify
and treat
dysphagia**

2

Elevate the
head of bed

3

**Avoid
intubation
(1-3)**

4

**Minimize
sedation**

Driver #2: Reduce aspiration

1

**Identify
and treat
dysphagia**

2

**Elevate the
head of bed**

3

**Avoid
intubation**

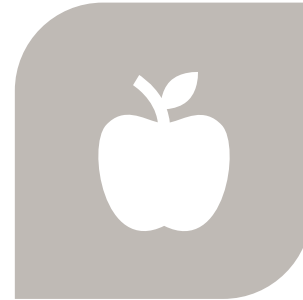
4

**Minimize
sedation
(1, 2)**

Driver #3: Strengthen host defenses



Mobilize



**Provide
adequate
nutrition**

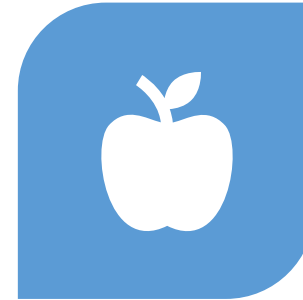


**Manage
glucose
levels**

Driver #3: Strengthen host defenses



Mobilize



**Provide
adequate
nutrition**



**Manage
glucose
levels**

- Enteral Feeding
- Nutritional Goals
 - Reduce holds & GRVs
 - Consider volume-based feeding (VBF)

Stress ulcer prophylaxis (SUP) stewardship

Driver #3: Strengthen host defenses



Mobilize



**Provide
adequate
nutrition**



**Manage
glucose
levels**

Prevention works!

Infection Control & Hospital Epidemiology (2020), 1–6
doi:[10.1017/ice.2019.368](https://doi.org/10.1017/ice.2019.368)



Original Article

A successful program preventing nonventilator hospital-acquired pneumonia in a large hospital system

Cristine C. Lacerna RN, MPH, CIC¹, Donna Patey MN, RN, CNS, CPHRM, WOCN¹, Lawrence Block MPH, MPA², Sejal Naik RN, MHA, CIC¹, Yulia Kevorkova BS², Jessica Galin MPH², Melanie Parker MD¹, Robin Betts MBA-HM, RN, CPHQ¹, Stephen Parodi MD³ and David Witt MD, FIDSA, CIC¹

¹Kaiser Permanente Northern California, Risk and Patient Safety, Oakland, California, ²Kaiser Permanente Northern California, Quality and Operations Support, Oakland, California and ³The Permanente Medical Group, Executive Offices, Oakland, California

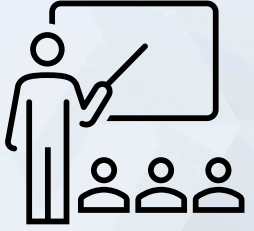
- **Implementation of seven (7) interventions for all hospitalized patients in a 21-hospital system over six (6) years**
 - Mobilization, upright feeding, swallow eval, sedation restrictions, elevated head of bed, tube care, and oral care.
- **Statistical reduction in pneumonia rates, mortality, and antibiotic use.**



From knowing to doing

Implementation science





Implementation science is a deliberate process for successful change

1 Pre-implementation

2 Project management



3 Change management



Pre-implementation

5 domains of successful pre-implementation

1

Identify a solid evidence-based intervention

2

Assess external factors

3

Assess internal factors

4

Assess individual factors

5

Choose an implementation science framework to manage the change

Identify evidence-based intervention

1

Identify a solid evidence-based intervention

Moderate to high level of evidence

Perceived as a good solution to a problem

Can be adapted to a local setting

Can start small and scale up

Simple to complex intervention

Consider cost

1

2

Assess
external
factors

- **Patient need, priority**
- **Pressure to improve**
- **Mandate**

2 Quick Safety

Issue 61 | September 2021

Preventing non-ventilator hospital-acquired pneumonia

3 Joint Commission Standards Related to Healthcare-Associated Infections

- **IC.01.02.01** Hospital leaders allocate needed resources for the infection prevention and control program.
- **IC.01.03.01** The hospital identifies risks for acquiring and transmitting infections.
- **IC.01.05.01** The hospital has an infection prevention and control plan.
- **IC.02.01.01** The hospital implements its infection prevention and control plan.
- **IC.03.01.01** The hospital evaluates the effectiveness of its infection prevention and control plan.

3

Assess
internal
factors

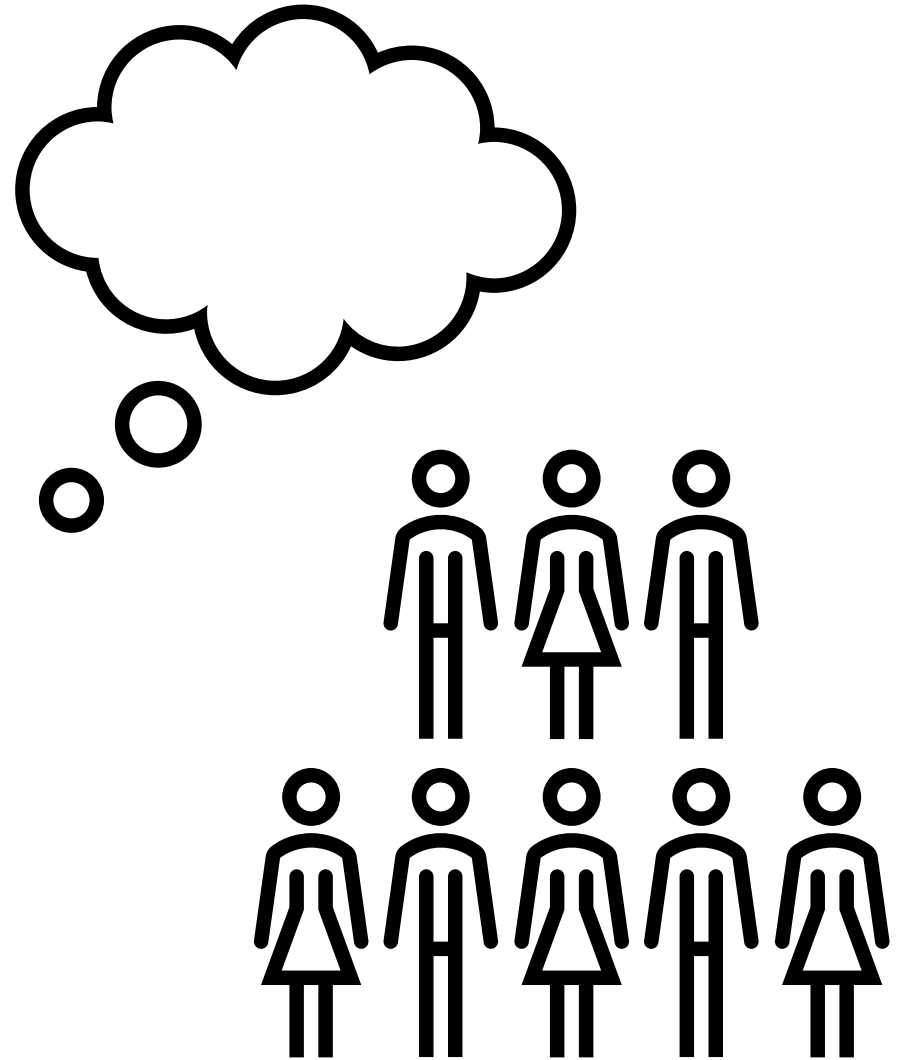
- Leadership engagement
- Communication structure
- Implementation climate



4

Assess individual factors

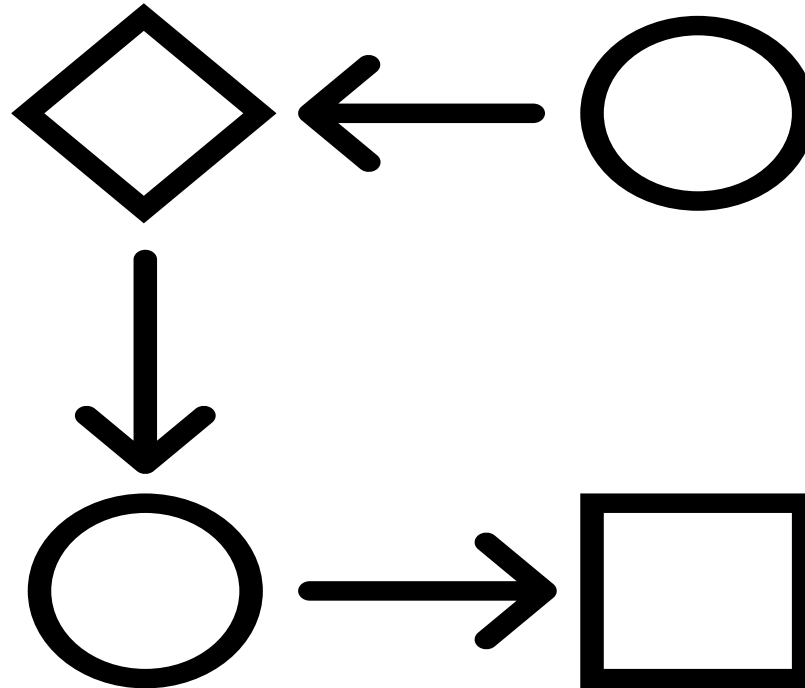
- **Knowledge and attitude**
- **Commitment to the organization or team**



Choose a framework to manage change

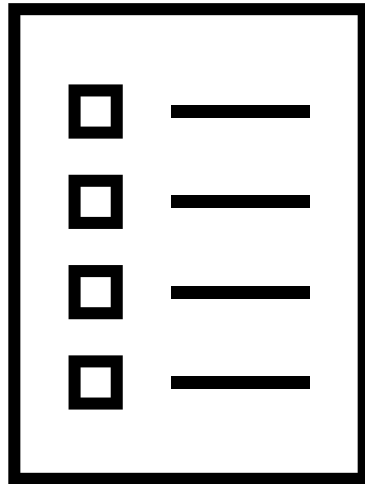
5

Choose an implementation science framework to manage the change



Project management

2. Project management



Microsoft 365

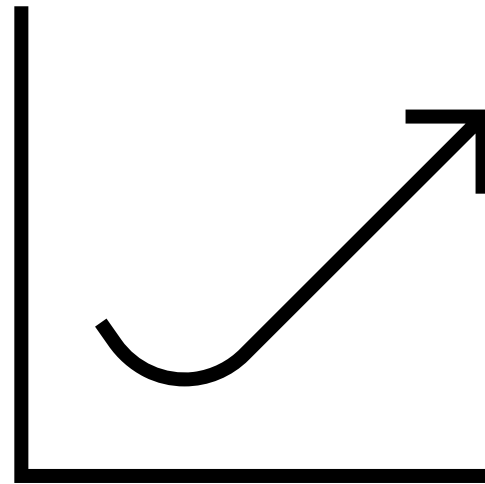


Adobe Stock

- **Defines goals and outcomes**
- **Has a beginning and an end**
- **Provides structure needed to keep the project moving to completion (timelines, milestones)**
- **Considers stakeholders/customers**

Change management

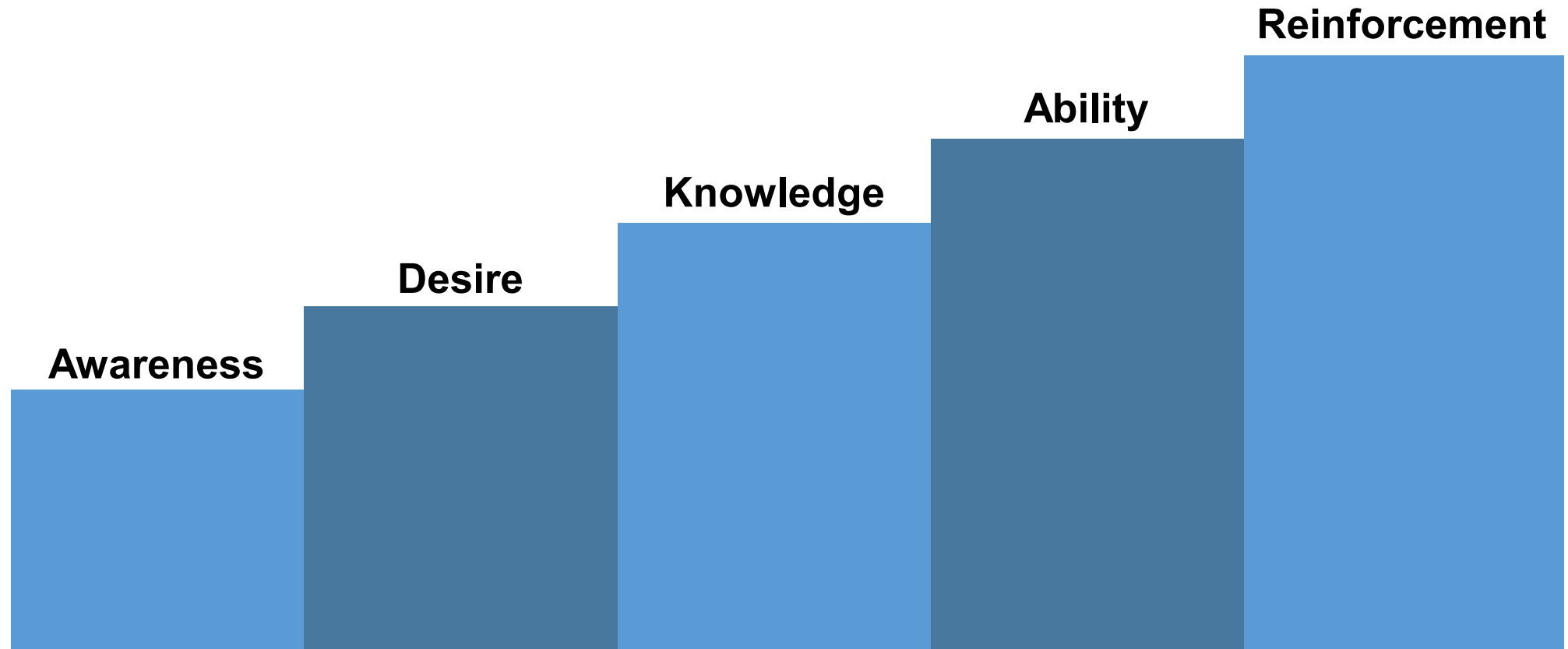
3. Change management



Microsoft 365

- **The people side of change**
- **Enables individuals to adopt a change**
- **Bridge between solutions and results**

ADKAR Model: 5 change elements

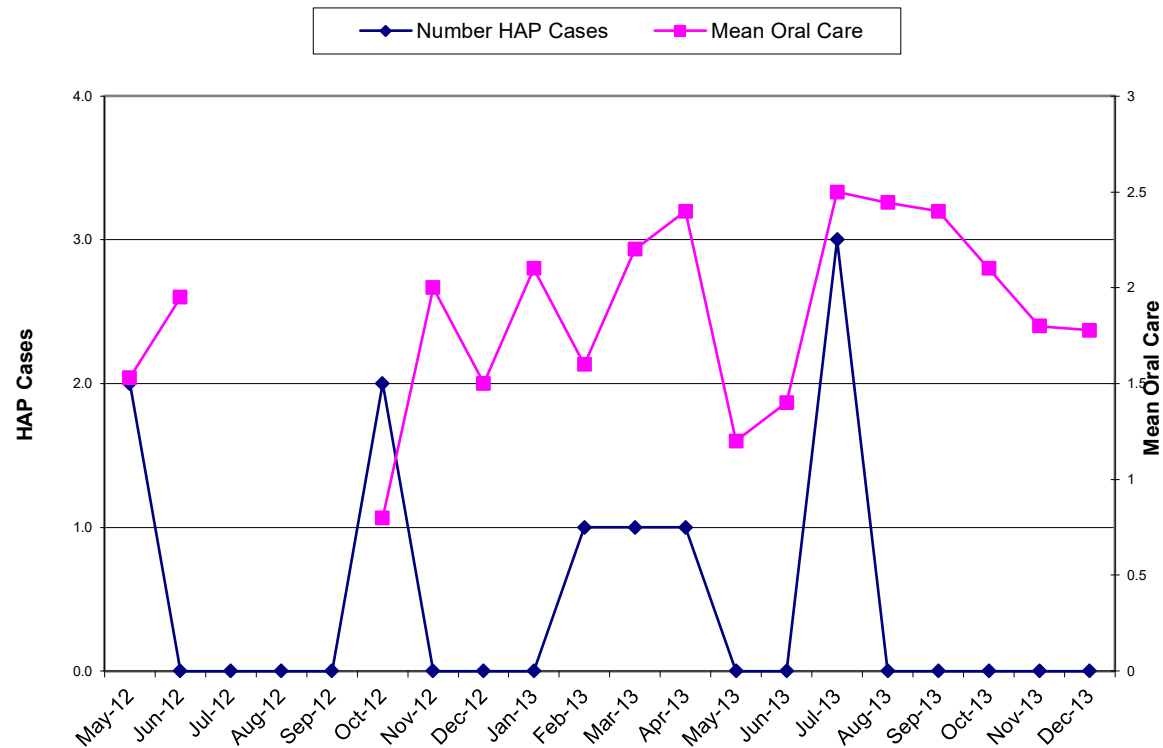


Influencer model

	Motivation (why)	Ability (how)
Personal	<p>Make the undesirable desirable. “Why do I want to change?”</p> <p>Unit data, case studies</p>	<p>Surpass your limits. “I want to be a better ____”</p> <p>Step-by-step procedures Oral care protocol, inclusive policy</p>
Social	<p>Harness peer pressure. Why do we want to change?</p> <p>Enlisted unit practice council to decide where oral care supplies should be located</p>	<p>Find strength in numbers.</p> <p>Engaged non-licensed staff Self-audits</p>
Structural	<p>Design rewards and design accountability.</p> <p>Bulletin board dashboard Celebration cake</p>	<p>Change the environment.</p> <p>Linked oral care with existing routine (food trays) Documentation on MAR</p>

Example: Personal motivation


Unit A- Association of Mean Oral Care to HAP Frequency



Unit case study:

- 64 y.o. male admitted for esophagectomy d/t cancer, s/p chemo. No other medical history.
- POD 8 pt developed leukocytosis, productive cough, increased work of breathing and O2 demand.
- CXR “hazy opacity w/small pleural effusion”.
- DC home POD 11 on PO abx for NVHAP.

Example: Personal ability

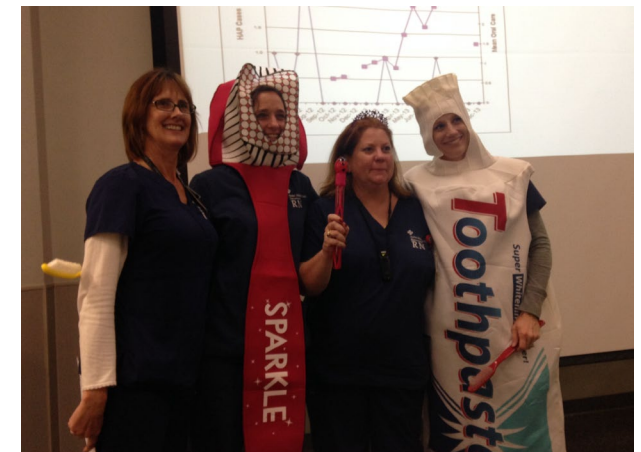
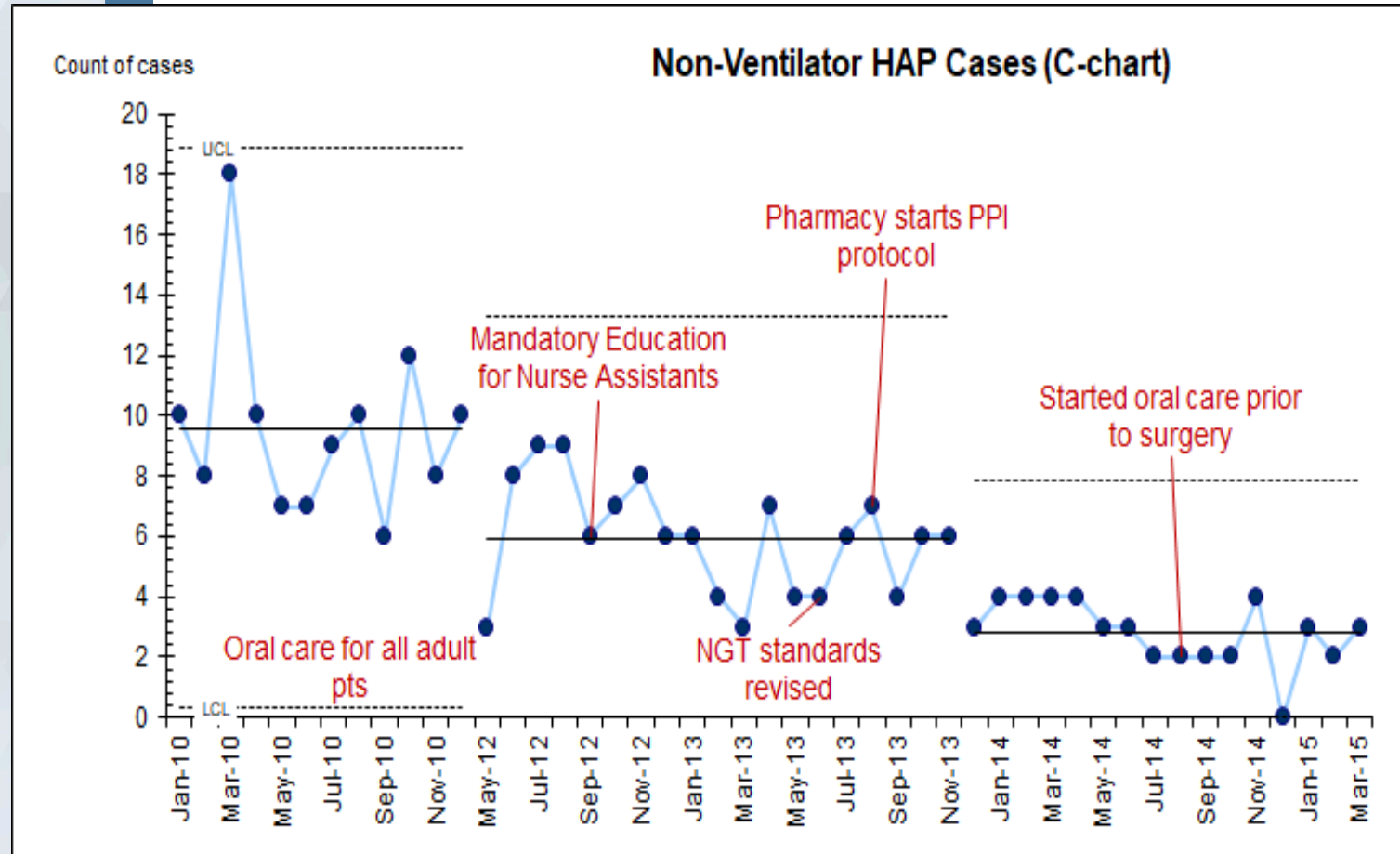
Patient Type	Tools	Procedure	Frequency
Self Care/assist	Brush, paste, rinse, moisturizer	Provide tools Brush 1-2 minutes Rinse	4x/day
Dependent/ aspiration risk/ non-vent	Suction toothbrush kit (4)	Brush 1-2 minutes, suctioning as needed. Apply moisturizer.	4x/day
Dependent/vent	ICU Suction toothbrush kit (6) CHG	Brush/swab 1-2 minutes, suctioning as needed. Apply moisturizer.	6x/day CHG 2x/day
Dentures 	Tools + Cleanser Adhesive	Brush dentures with warm water after each meal. Brush/swab gums, mouth. Remove dentures and soak at night.	4x/day *Approved by ADA Board of Trustees, July 2017

Example: Social motivation and ability



- **Classes for unlicensed staff**
- **Team member**
- **Value added for patient outcomes**
- **Self-audits**

Example: Structural motivation



Example: Structural ability

▼ Pneumonia Risk / Oral Care

Oral Care Type

- Self care
- Dependent care / aspiration risk
- On ventilator
- Dentures or no teeth

Prior to providing oral care, a Nursing Swallow Screen* should be completed for any patient at risk for aspiration, such as:

- Displaying any new signs and symptoms of stroke.
- For any chronic or acute neurological/neuromuscular disorder.
- Following new cervical spine surgery.
- Upon admission to the critical care setting.
- Following extubation when intubated ≥ 48 hours.
- For any changes in level of responsiveness.
- Pulmonary condition and/or with oxygen $>5L/min$.

Pneumonia Risk / Oral Care	
Oral Care Type	
Self care	
Dependent care	
On ventilator	
Dentures / no teeth	

Row Information ⌵

1. Set patient up at sink or in bed with all equipment.
2. Instruct patient to brush teeth for 1-2 minutes.
3. Instruct patient to swish and spit antiseptic oral rinse.
4. If available, floss or use interdental cleansers
5. May moisturize interior of mouth and lips using a swab. PRN.
6. Discard disposable equipment/swab in trash.

Row Information ⌵

1. Moisten suction toothbrush/swab in antiseptic oral rinse.
2. Connect suction toothbrush/swab to continuous suction.
3. Brush/swab the teeth 1-2 minutes.
4. Suction debris from mouth.
5. Discard disposable equipment/swab in trash.

Row Information ⌵

1. After removing dentures, place in a labeled denture cup.
2. Brush the palate, buccal surfaces, gums, and tongue with the toothbrush or swab.
3. Patient can swish and spit antiseptic rinse, or use swab to apply.
4. Line the sink with paper towel and add water to cushion the dentures in case you drop them. Carefully brush dentures with warm water. DO NOT USE TOOTHPASTE as this may scratch the surface of the dentures.
5. Clean and dry equipment and return to patient's bedside table.
6. Assist patient in inserting dentures into mouth.
7. After HS mouth care, soak dentures in a commercial cleanser in the denture cup.
8. If patient needs denture adhesive to hold firmly in place, follow manufacturer directions.

Critical VS Simple Adult Care Sum F14 **Func/Risk Screen** IP Sepsis Summary IV I/O Daily Care Blood &

Hide All Show All

Braden Risk Assessment	<input checked="" type="checkbox"/>
Fall Risk Assessment	<input checked="" type="checkbox"/>
Pneumonia Risk / Oral Care	<input checked="" type="checkbox"/>
Sepsis Screen - Please Ass...	<input checked="" type="checkbox"/>
Abuse	<input checked="" type="checkbox"/>
Chronic Pain	<input checked="" type="checkbox"/>
Communicable Diseases	<input checked="" type="checkbox"/>
Transdermal Patch Assess...	<input checked="" type="checkbox"/>
Violence Risk Assessment ...	<input checked="" type="checkbox"/>
Danger to Self	<input checked="" type="checkbox"/>
Danger to Others	<input checked="" type="checkbox"/>
Functional/Cognitive Scree...	<input checked="" type="checkbox"/>
Immunizations	<input checked="" type="checkbox"/>
Nutrition/Metabolic	<input checked="" type="checkbox"/>
Skin Review of Systems	<input checked="" type="checkbox"/>
Sleep/Relaxation	<input checked="" type="checkbox"/>

Accordian Expanded View All

1m 5m 10m 15m 30m

ED to H...
6/29/18
0800

Braden Risk Assessment	
Sensory Perception	
Moisture	
Activity	
Mobility	
Nutrition	
Friction and Shear	
Braden Score	
Fall Risk Assessment	
Fall Risk Indicators	
Fall Risk Score	
Pneumonia Risk / Oral Care	
Oral Care Type	

“ All success is a lagging indicator. Nothing comes from nowhere...Of years and years of working, trying, and failing, of enduring. Of whether or not you keep going when most would give up. So, keep going. ”

Ryan Holiday

Summary

1. HAP is the most significant and deadly harm our patients are experiencing when under our care.
2. Patients are at risk in the hospital because of ubiquitous healthcare pathogens, inevitable aspiration, and a weakened host defense system. All patients are at some risk.
3. Pneumonia prevention strategies focus on source control, aspiration reduction and strengthening host defenses.
4. For successful change and moving evidence from knowing to doing, include the three (3) parts of implementation science: Pre-implementation assessment, Project Management, and Change Management for the people side of change.

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